

1 The Nijmegen Questionnaire: a valid measure for hyperventilation syndrome

2 Running title: Validity of the Nijmegen Questionnaire

3

4 Abstract

5 Hyperventilation syndrome is often undiagnosed due to its multi-systemic and  
6 apparently unrelated symptoms. The Nijmegen Questionnaire is used by clinicians to  
7 assess susceptible individuals, based on self-reporting symptoms attributed to  
8 hyperventilation syndrome. However, evidence of psychometric properties of this  
9 questionnaire is lacking. This study investigated two types of validity, using  
10 interviews and Rasch analysis. Data showed that the Nijmegen Questionnaire met  
11 criteria for content validity but not for structural validity. Content validity was  
12 supported by a high matching percentage between the symptoms identified within  
13 interview data and the current items on the Nijmegen Questionnaire (94%). Reported  
14 symptoms from study participants were conceptually congruent with most of the  
15 questionnaire items with minor language inconsistencies between patients and  
16 clinicians. Rasch analysis indicated a poor fit of the Nijmegen Questionnaire to the  
17 Rasch model, demonstrating poor structural validity. This study also developed a  
18 revised version of the Nijmegen Questionnaire, which did meet criteria for structural  
19 validity. Subsequently, a conversion table was created for transforming raw total  
20 scores of the questionnaire in the clinical and research settings. Physiotherapists  
21 should use the revised 15-item Nijmegen Questionnaire for clinical and research  
22 purposes since it provides more accurate representation of the severity of patients'  
23 symptoms than the original scoring.

24

## 25 Keywords

26 Hyperventilation, Nijmegen Questionnaire, assessment, validity, Rasch analysis

27

## 28 Introduction

29 Dysfunctional breathing is an umbrella term describing breathing disorders where  
30 acute and/or chronic changes in breathing pattern result in dyspnoea and other  
31 symptoms in the absence or in excess of the magnitude of physiological, respiratory  
32 or cardiac disease (Boulding, Stacey, Niven, & Fowler, 2016). Boulding and  
33 colleagues (2016) suggested in their literature review the following classification for  
34 dysfunctional breathing patterns: hyperventilation syndrome, periodic deep sighing,  
35 thoracic dominant breathing, forced abdominal expiration, and thoraco-abdominal  
36 synchrony. Dysfunctional breathing is increasingly recognised as a costly health  
37 concern, given the involvement of various medical or surgical investigations prior to  
38 correctly identifying susceptible individuals (Chaitow, Morrison & Gilbert, 2014;  
39 Mooney & Candy, 2008). With the lack of population based cohort studies in the  
40 literature, the prevalence of dysfunctional breathing is largely an estimate (Kiesel,  
41 Rhodes, Mueller, Waninger & Butler, 2017). Two cross sectional studies based at a  
42 general practice of 7,033 clients in the United Kingdom showed that approximately  
43 8% of adults without asthma, and who visited a general practitioner, suffered from  
44 symptoms associated with dysfunctional breathing (Thomas, McKinley, Freeman,  
45 Foy, & Price, 2005). Dysfunctional breathing was more prevalent in women than men  
46 (35% versus 20% in those with asthma; 14% versus 2% in those without asthma)  
47 and in individuals diagnosed with asthma compared to those without (29% versus  
48 8%) (Thomas, McKinley, Freeman, & Foy, 2001; Thomas et al., 2005). However,

49 findings from these studies cannot be generalised to the general population, since  
50 their samples were relatively small, and participants were recruited from one semi-  
51 rural practice. Findings may be different in urban areas. In addition, clinical  
52 confirmation of dysfunctional breathing was not carried out.

53

54 The most common form of dysfunctional breathing is hyperventilation syndrome  
55 (Boulding et al., 2016) in which an individual presents with a range of apparently  
56 unrelated physiological symptoms associated with chemical changes (i.e. a reduction  
57 of carbon dioxide) in the cardiovascular / circulatory system. The reduced level of  
58 carbon dioxide within the bloodstream is the result of an acute or chronic increase in  
59 respiratory response (e.g. rate and/or volume) that exceeds the metabolic demands  
60 of the body (Lum, 1975). There is no gold standard objective assessment for the  
61 diagnosis of dysfunctional breathing/hyperventilation syndrome (Agache et al. 2012).  
62 The Nijmegen Questionnaire is used by clinicians for the assessment of symptoms  
63 attributed to hyperventilation syndrome as part of a holistic assessment. It does not  
64 provoke symptoms that could cause patient distress, in contrast to the  
65 hyperventilation provocation test (Howell, 1997). The Nijmegen Questionnaire is a  
66 self-reported 16 symptom scale, with response options never (0), rarely (1),  
67 sometimes (2), often (3), and very often (4) (Appendix A). A score above 23 out of 64  
68 is a positive screening of hyperventilation syndrome (Garssen et al., 1984; van  
69 Doorn, Colla, & Folgering, 1983). The questionnaire is also recommended for the  
70 assessment of other dysfunctional breathing patterns (Boulding et al., 2016),  
71 however, it has not been validated in these conditions.

72

73 An assessment tool needs to be conceptually sound, valid, and reliable for  
74 application in various clinical and research settings. However, our previous literature  
75 review suggests evidence on the psychometric properties of the Nijmegen  
76 Questionnaire is limited (Li Ogilvie & Kersten, 2015). Indeed, only one study  
77 investigating structural validity was identified (van Doorn et al., 1983). Structural  
78 validity is “the degree to which scores of a measurement instrument are an adequate  
79 reflection of the dimensionality of the construct to be measured” (Mokkink et al.  
80 2010, p743). The second identified study had methodological limitations (e.g. the  
81 methodologies and procedures used to examine the content validity and reliability of  
82 the questionnaire were unclear (van Dixhoorn & Duivenvoorden, 1985)). Content  
83 validity can be defined as “the degree to which the content of a measurement  
84 instrument is an adequate reflection of the construct to be measured” (Mokkink et al.  
85 2010, p743). As such, there is more work needed to establish the content validity  
86 and structural validity of the Nijmegen Questionnaire. Without first establishing  
87 content validity, any other validation procedures are unlikely to yield meaningful  
88 results (Bond & Fox, 2015; McDowell, 2009). The purpose of this study therefore  
89 was to investigate the content and structural validity of the Nijmegen Questionnaire,  
90 with the research question: Is the Nijmegen Questionnaire a valid outcome measure  
91 for individuals with hyperventilation syndrome? The research findings have the  
92 potential to increase confidence in the utilisation of the Nijmegen Questionnaire  
93 among clinicians and researchers, empowering users to make relevant inferences  
94 from the questionnaire scores and facilitating the process in identifying individuals  
95 with hyperventilation syndrome for early physiotherapy intervention.

96

## 97 Methods

98 This study drew on guidelines for outcome measure development and testing,  
99 incorporating qualitative and quantitative research methods (Bowling, 2014;  
100 McDowell, 2009; Streiner, Norman, & Cairney, 2015). Content validity was  
101 investigated using qualitative descriptive methodology (Sandelowski, 2000) and  
102 structural validity was examined using Rasch analysis (Bond & Fox, 2015). The  
103 study was approved by the Auckland University of Technology Ethics Committee and  
104 the research office at the participating government funded hospital.

105

### 106 Content validity – Qualitative Descriptive Study

#### 107 Participants and sampling

108 Patient participants included patients who were diagnosed by a clinician (based on  
109 their clinical diagnosis) with hyperventilation syndrome. People were eligible to take  
110 part if they were: a) 18 years or older, b) able to communicate in English (verbal and  
111 written), and c) able to provide informed consent (verbal and written). Patients were  
112 excluded if they had a known organic cardiac, neurological, and/or respiratory  
113 disease given the crossover of symptoms could propose a risk in contaminating the  
114 research findings. This was consistent with previously published studies associated  
115 with the development and validation of the Nijmegen Questionnaire (Garssen et al.,  
116 1984; van Dixhoorn & Duivenvoorden, 1985; van Doorn et al., 1983; van Doorn,  
117 Folgering, & Colla, 1982). Patient eligibility was determined by examination of their  
118 clinic records which contained such details. Clinicians were included if they had  
119 experience of working with patients with hyperventilation syndrome. Clinicians were  
120 from varied health disciplines (nursing, physiotherapy and medicine).

121 We intended to use purposeful sampling (Patton, 2002; Sandelowski, 2000) to select  
122 patients and clinicians, aiming to recruit individuals from different age, gender, ethnic  
123 groups, and clinical disciplines. However, after three months, only one patient had  
124 consented to participate. Given this, other recruitment strategies (distribution of study  
125 flyers via specialist services mailing list, offering flyers to patients at clinic group  
126 sessions, and snowballing sampling) were utilised (with additional ethical approval).  
127 Attempting to build on prior research (van Doorn et al., 1983) and to achieve  
128 sampling diversity, we aimed to recruit a minimum of six patients and three  
129 clinicians. Participants were identified and recruited from respiratory physiotherapy  
130 clinics in Auckland, New Zealand. A hospital administrator and physiotherapy  
131 colleague distributed or mailed the study flyers. All patient participants had  
132 knowledge of the Nijmegen Questionnaire as they had all completed this as part of  
133 the previous or ongoing treatment. We did not record how many times they had  
134 completed the questionnaire previously.

135

#### 136 Data collection

137 After providing consent, each participant took part in a semi-structured interview  
138 (approximately one hour) with the researcher (first author) who is a registered  
139 physiotherapist. An interview guide was used (Table 1) to explore the symptoms  
140 attributed to hyperventilation syndrome and content validity of the Nijmegen  
141 Questionnaire. Interviews were recorded and transcribed verbatim by the researcher.

142

#### 143 Data analysis

144 Interview data were analysed using conventional content analysis, in which coding  
145 categories are derived directly from the text data, and which allows the researcher to

146 focus on the characteristics of language used to illuminate key concepts associated  
147 with the phenomenon (Hsieh & Shannon, 2005). The researcher identified data on  
148 symptoms attributed to hyperventilation syndrome and the Nijmegen Questionnaire.  
149 Symptoms/symptom clusters identified from the interviews that had conceptual  
150 congruency with the Nijmegen Questionnaire were grouped together to form  
151 categories and sub-categories, before being compared against the Nijmegen  
152 Questionnaire items. The primary researcher kept a reflexive journal, reviewed and  
153 revised coding strategies and outcomes with co-investigators (NK and PK)  
154 throughout the analytical process to stay close to the data as the categories and sub-  
155 categories were developed, and to minimise bias.

156

157 Structural validity – Rasch Analysis

158 Sampling

159 Nijmegen Questionnaires completed by eligible patients who attended the  
160 aforementioned clinic between 02/05/2013 and 30/04/2016 were extracted from  
161 patient clinical records. For Rasch analyses, reasonably well targeted samples of  
162 108 are reported to have 99% confidence that the estimated item difficulty is within  
163  $\pm 1 \frac{1}{2}$  logit of its stable value (Linacre, 1994). For poorly targeted samples, 243 are  
164 required for this level of confidence. Erring on the side of caution, we aimed to  
165 include 250 questionnaires (no upper limit was set for the number of questionnaires  
166 per patient). The individual item scores and total scores of the questionnaires made  
167 up the data set for analysis. Person characteristics (e.g. age, gender, and ethnicity)  
168 were also collected.

## 169 Data collection

170 The individual item scores from the questionnaires were entered into a Microsoft  
171 Access database. Total item scores were calculated by a pre-entered formula and  
172 the total item scores could not be calculated if there were any missing items. Data  
173 entry was checked against the questionnaires. Rasch analysis was carried out using  
174 RUMM2030 software (Andrich, Sherridan, & Luo, 2009).

175

## 176 Data analysis

177 Descriptive statistics for the Nijmegen Questionnaire data set (including summary  
178 statistics for personal characteristics: age, gender, and ethnicity) were calculated  
179 using IBMSPSS Statistics 22 (IBM Corp, 2013). Rasch analysis incorporated the  
180 relevant steps outlined below (Kersten & Kayes, 2011; Medvedev et al., 2017;  
181 Siegert, Tennant, & Turner-Stokes, 2010):

- 182 1. Testing of overall data fit to the Rasch model: The item-trait interaction chi-  
183 square probability should be non-significant.
- 184 2. Checking of person fit to the Rasch model: Fit residuals should be within the  
185 range of +/- 2.5, with a non-significant item fit chi-square probability, the mean  
186 fit residual should be close to zero with a standard deviation value close to  
187 one.
- 188 3. Checking of individual item fit for their fit to the Rasch model: Fit residuals  
189 should be within the range of +/- 2.5 with a non-significant item fit chi-square  
190 probability, the mean fit residual should be close to zero with a standard  
191 deviation value close to one.
- 192 4. Identifying item(s) with poor fit to the Rasch model (using fit statistics outlined  
193 under 2.)

- 194 5. Identifying local dependency / dependencies between items from the residual  
195 correlation matrix: the residual correlation should be  $< 0.2$  above the mean  
196 residual correlation.
- 197 6. Checking if the item response categories work as intended. The validity of the  
198 five response category structure of each item was assessed by examining if  
199 the response thresholds were ordered: thresholds are the points on the scale  
200 where the probabilities of someone giving a response of either 0 or 1, and 1 or  
201 2 (and so forth) are equally likely. When the response categories do not show  
202 a logical progression across the trait being measured disordered thresholds  
203 are observed. In such instances, responses categories can be collapsed to  
204 solve this problem.
- 205 7. Analysing Differential Item Function (DIF) for personal characteristics (e.g.  
206 age, gender, ethnicity, and assessment [time one; time two etc.]): Absence of  
207 DIF is shown if the analysis of variance (ANOVA) test is non-significant.
- 208 8. Testing of unidimensionality: Fewer than 5% of independent t-test on  
209 estimates from testlets created from items with high positive and high  
210 negative loadings on the first principal component of the residuals should be  
211 significant (the 95% Confidence Interval [CI] should include 5%).
- 212 9. (Potentially) Modifying the original scale by:
- 213 a. deleting item(s) with poorest fit to the Rasch model;
  - 214 b. combining items with local dependencies;
  - 215 c. re-scoring item(s) with disordered threshold(s).
- 216 10. Re-testing individual item fit and overall fit to the Rasch model
- 217 11. Distribution analysis of the participant-item thresholds.
- 218

## 219 Results

### 220 Participant characteristics

221 Six patients (all females) aged 26 to 64 years and four clinicians (three females)  
222 aged 54-58 were interviewed. Age was undisclosed for one clinician. Ethnic identities  
223 for patients included Chinese, Māori, New Zealand European, and South African.  
224 Clinicians' ethnicities included Chinese, European, and New Zealand European.

225

### 226 Symptoms of hyperventilation syndrome and content validity

227 Table 2 presents the symptoms/symptom clusters (total of 46), symptom categories  
228 (total of 3) and sub-categories (total of 12) identified from interview data. Based on  
229 evaluation of conceptual congruency and language consistency, only one existing  
230 Nijmegen Questionnaire item (stiff fingers or arms) did not match with interview data.  
231 The other 15 items (94%) matched with interview data at a conceptual level, albeit  
232 with some inconsistencies in the language used to describe the symptoms. Table 3  
233 contains excerpts from interview data as they relate to questionnaire items.  
234 Differences were noted between patients and clinicians in terms of the words or  
235 phrases used (e.g. [patients] "You're not breathing in a good rhythm" versus  
236 [clinician] "So the mechanics can include apical pattern of breathing, altered  
237 inspiratory expiratory ratio..."). Despite some minor discrepancies in language, these  
238 findings suggest the Nijmegen Questionnaire meets the criteria for content validity  
239 given that 94% of the items are representative of symptoms attributed to  
240 hyperventilation syndrome based on the perspectives of patients and clinicians with  
241 experience of the condition. There were symptoms identified from the interviews that  
242 were not addressed by the Nijmegen Questionnaire, 68% of which were in sub-  
243 categories with other symptoms matched by questionnaire items.

## 244 Questionnaires characteristics

245 Data from 239 questionnaires completed by 159 patients (1 to 5 questionnaires per  
246 patient) were extracted for the Rasch analysis. Of the 239 questionnaires, 73% were  
247 completed by females. The ethnic characteristics of the patients included: New  
248 Zealand European (41%), Asian (28%), Pacific Islander (11%), Māori (8%), and  
249 Other (12%). Age characteristics were divided into three groups: 15-46 years (40%),  
250 47-57 years (28%), and >57 years (32%). Of the 159 patients, 72% were females.  
251 The mean age was 51 years with a standard deviation of 16 (range 15-90).

252

## 253 Rasch analysis and structural validity

254 Table 4 shows the distribution of response frequencies of the 239 questionnaires,  
255 including information on missing data. Twelve items showed a floor effect (i.e. >25%  
256 of patients scoring 0 = Never). The data did not fit the Rasch model with mean item  
257 fit residual of 0.410 and standard deviation of 1.499 (Table 5). The item-trait  
258 interaction chi-square was significant with probability of <0.001, demonstrating the  
259 lack of fit (Table 5, Analysis 1). One misfitting item (NQ14 *cold hands or feet*) was  
260 identified with an item fit residual of 4.58 (acceptable range = +/- 2.5). This item was  
261 under discriminating and shown to have uniform DIF by gender (Figure 1).

262 Residual correlations should be smaller than 0.2 above the average residual  
263 correlation (in this instance  $-0.063 + 0.2 = 0.137$ ). High correlations between the  
264 residuals indicated local dependency between six sets of items (Table 6), suggesting  
265 that item responses of the Nijmegen Questionnaire depend not only on the severity  
266 of the symptoms of hyperventilation syndrome being measured, but on responses to  
267 other questionnaire items. The Nijmegen Questionnaire is unidimensional given that  
268 5.1% of *t*-tests were significant (95% CI 2.3% to 7.8%, Table 5, Analysis 1).

269 Examination of the category probability curves indicated disordered thresholds for all  
270 16 items.

271 The misfitting item NQ14 was deleted and the analysis repeated with the remaining  
272 data (Table 5, Analysis 2). The mean item fit residual was 0.39 with a standard  
273 deviation of 1.15. The item-trait interaction chi-square was not significant with  
274 probability of 0.016 (greater than the Bonferroni adjusted  $p$  value of 0.0033),  
275 indicating fit to the Rasch model. Item NQ9 (*bloated feeling in stomach*) had an item  
276 fit residual of 2.76, just outside the acceptable range. This item was also under  
277 discriminating, though not to the extent NQ14 was. The remaining 14 items  
278 demonstrated good fit to the Rasch model. All 15 items were invariant (i.e. unbiased,  
279 no DIF) across different age, gender, and ethnic groups, at initial and repeated  
280 assessment(s). Local dependency was found between the same clusters of items  
281 identified previously. The 15-item Nijmegen Questionnaire was found to remain  
282 unidimensional. However, as with the 16-item scale, all items had disordered  
283 thresholds. After collapsing response options (Table 5, Analysis 3) using strategies  
284 outlined in Table 7, the number of disordered thresholds were reduced over three  
285 rescoring stages. Ordered thresholds were achieved for all 15 items by combining  
286 the response categories *sometimes* and *often*. Locally dependent items were  
287 combined into new super items (testlets), removing the influence of local  
288 dependencies (Table 5, Analysis 4). Following this, the average fit residual statistics  
289 had a mean of 0.06 and standard deviation of 0.86. The item-trait interaction chi-  
290 square probability was not significant at 0.205. With only 1.8% of significant  $t$ -tests,  
291 the scale remained unidimensional. A conversion table (Table 8) was created,  
292 allowing the conversion of ordinal to interval data for parametric analyses and clinical  
293 use. This works by calculating the total score on a completed questionnaire,

294 excluding item 14, and then use the table to look up to convert the raw (ordinal)  
295 score in column 1 to the new equivalent interval score in column 3.

## 296 Discussion

297 Our study evaluated the content and structural validity of the Nijmegen  
298 Questionnaire. To our knowledge, this is the first study to involve patients in content  
299 validity investigation for the questionnaire. It is also the first time that Rasch analysis  
300 is utilised in the evaluation of structural validity of the Nijmegen Questionnaire. Our  
301 study results demonstrated that 94% of the questionnaire items matched partly or  
302 fully with the interview data, representing both patients' and clinicians' view on  
303 symptoms of hyperventilation syndrome in relation to questionnaire content, though  
304 perhaps not fully. Stiff fingers or arms was the only item (from 16) that did not map  
305 onto interview data. A total of 46 symptoms/symptom clusters were identified in our  
306 study, compared to a total of 45 symptoms reported by patients in the van Doorn and  
307 colleague's first study (1982). We were unable to compare our additional  
308 symptoms/symptom clusters with their study, however, as they only reported the  
309 content of the final 16 symptoms that now make up the Nijmegen Questionnaire.  
310 This study provides a point of reference for symptoms of hyperventilation syndrome,  
311 as perceived by patients who experience hyperventilation syndrome first-hand, and  
312 clinicians working with this population. It is worth noting that while the items were  
313 conceptually congruent with interview data, there were some language  
314 inconsistencies between the existing items and the symptoms/symptom clusters  
315 identified. This has also been observed in the literature (Grossman & de Swart,  
316 1984; Ruiter, Garssen, Rijken, & Kraaimaat, 1989; van Doorn et al., 1982). Future  
317 research might involve refining item wording so that items resonate with the

318 language patients would use to describe their symptoms, given that any refinements  
319 would need to be tested against the Rasch model.

320 The Rasch analysis findings showed that the current Nijmegen Questionnaire did not  
321 fit the Rasch model and therefore did not meet criteria for structural validity. The  
322 questionnaire was not unidimensional and all 16 items demonstrated disordered  
323 thresholds. *Cold hands or feet* (NQ14) was identified as a poorly fit item, illustrating  
324 bias in its function when assessing hyperventilation syndrome between male and  
325 female patients. *Bloated feeling in stomach* (NQ9) was another item with a poor fit  
326 and under discriminating, after deleting NQ 14. However, it was retained due to the  
327 absence of bias in terms of item function in person variables. This suggested that  
328 *bloated feeling in stomach* was valid in assessing hyperventilation syndrome. The  
329 systematic rescoring of response options and the merging of items with congruent  
330 meanings into testlets resulted in the revised 15-item version of the Nijmegen  
331 Questionnaire, meeting straight criteria for structural validity. A previous study (van  
332 Dixhoorn & Duivenvoorden, 1985) utilised non-metric principal components analysis  
333 (a parametric statistical technique) to evaluate structural validity of the Nijmegen  
334 Questionnaire. However, their results cannot be compared directly with the current  
335 study results because they used parametric statistical techniques, which are not  
336 suited to ordinal data (Bond & Fox, 2015; Streiner et al., 2015). However, prior  
337 results concerning construct validity can be extrapolated and interpreted with these  
338 study results. Van Dixhoorn and Duivenvoorden (1985) identified three questionnaire  
339 components: shortness of breath, peripheral tetany, and central tetany. The  
340 identification of this underlying relationship between variables were consistent with  
341 the discovery of local dependencies among the current items of the Nijmegen  
342 Questionnaire in this study. Some of the local dependencies identified were noted

343 within the shortness of breath and central tetany components. This suggests that the  
344 symptoms represented by these items were scored not just based on the severity of  
345 hyperventilation syndrome related symptoms, but on the score for another item on  
346 the scale also. The locally dependent items were representing symptoms of similar  
347 nature. One item (NQ16 *feeling of anxiety*) was omitted from the van Doorn et al's  
348 (1982) validation study. This item was found to be locally dependent with *feeling*  
349 *tense* (NQ2) and *feeling confused* (NQ5). Van Dixhoorn and Duivenvoorden's (1985)  
350 decision to omit *feeling of anxiety* (NQ16) was not supported by our study results.  
351 *Stiff fingers or arms* (NQ12) did not match with any participant-identified symptoms.  
352 However, it was found to be locally dependent with *tingling fingers* (NQ10) which  
353 was fully conceptually and linguistically congruent with symptom identified by  
354 participants. Regardless of the lack of reporting by study participants, the fact that  
355 NQ12 was locally dependent suggests it measures something very similar to NQ10.  
356 Item NQ14 (*cold hands or feet*) was only partly congruent with interview findings. In  
357 addition, it was a misfitting item as highlighted by the Rasch analysis which resulted  
358 in it being deleted. Thus, both the interview and Rasch analysis findings from this  
359 study supported a 15-item version of the Nijmegen Questionnaire as a valid  
360 screening tool for hyperventilation syndrome.

361

## 362 Research and clinical implications

363 Interview findings revealed one existing item that appeared to be a poor match to the  
364 symptoms of hyperventilation syndrome. Additionally, a number of symptoms  
365 identified by participants are not captured by existing items of the Nijmegen  
366 Questionnaire. The reason for the mismatch between items and symptoms could be  
367 multifaceted. On the one hand, the interpretation and description of these symptoms

368 varied between patients and clinicians. This could cause symptoms to be missed or  
369 misinterpreted by both parties in the clinical encounter. The Nijmegen Questionnaire  
370 does contain a majority of items that reflect symptoms of hyperventilation syndrome.  
371 While the questionnaire is structurally valid for repeat assessment (as there was no  
372 bias over time points in this study), no validation process to date has proved the  
373 ability of this questionnaire in measuring change (e.g. treatment effectiveness on  
374 hyperventilation syndrome). It is important to be aware of this when interpreting  
375 results from more than one assessment for individual patients. The same caution  
376 needs to be applied when using the Nijmegen Questionnaire as an outcome  
377 measure in research.

378

#### 379 Strengths and limitations

380 By involving both patients and clinicians, this study met the criteria for the evaluation  
381 of content validity as described by the Scientific Advisory Committee of the Medical  
382 Outcome Trust (2002). Studies employing the qualitative descriptive methodology  
383 are able to produce findings that are transferable to population with similar  
384 characteristics as the study participants (Sandelowski, 1995, 2000). The COSMIN  
385 checklist identifies several criteria to assess the methodological quality of  
386 measurement studies (Mokkink et al., 2010; Terwee et al., 2012). A self-assessment  
387 of the current study suggested that it meets all the criteria identified as critical to  
388 content validity, achieving an *excellent* rating (Table 9).

389 The interview data may be limited by the small sample size and despite the various  
390 adjustments made in the effort to recruit male participants, there was also a lack of  
391 male patient interview participants and only one in the clinician group. Although more  
392 women than men suffer from hyperventilation syndrome and more women are

393 treated at the recruitment locality, the study findings regarding content validity have  
394 limited transferability to a male population. The Nijmegen Questionnaire is a  
395 suggested screening tool for hyperventilation syndrome, based on reported  
396 symptoms. However, these symptoms are not exclusive to individuals with  
397 hyperventilation syndrome. It was not feasible to exclude patients with psychiatric  
398 and/or psychological disorders due to either personal preferences or public health  
399 policies around disclosure. The mental health background of patients from the study  
400 was unexplored and could have affected their symptom reporting.

401

#### 402 Conclusion

403 The revised 15-item Nijmegen Questionnaire is an outcome measure that is suitable  
404 for its purpose in screening for hyperventilation syndrome in clinical and research  
405 settings with standards for application in place. The utilisation of the conversion table  
406 is recommended for converting ordinal raw scores to interval data when using the  
407 Nijmegen Questionnaire especially when parametric testing is indicated. It should be  
408 used in conjunction with other subjective and objective measures when assessing for  
409 hyperventilation syndrome.

410

#### 411 KEY POINTS

- 412 1. This paper demonstrates content validity of the Nijmegen Questionnaire for  
413 hyperventilation syndrome, involving patients (in addition to clinicians) in the  
414 validation process for the first time.

- 415 2. The structural validity of the Nijmegen Questionnaire was explored using Rasch  
416 analysis (first in the literature), in line with the principles of outcome measure  
417 development and testing for ordinal questionnaire data.
- 418 3. This paper includes a revised 15-item Nijmegen Questionnaire and a conversion  
419 table for transforming raw (ordinal) total questionnaire scores to interval scores.
- 420 4. Physiotherapists should use the revised 15-item Nijmegen Questionnaire for  
421 clinical and research purposes.

422

423

#### 424 IMPACT STATEMENT

425 The revised Nijmegen Questionnaire is an outcome measure that is suitable for its  
426 purpose in screening for hyperventilation syndrome in clinical and research settings  
427 with standards for application in place. Physiotherapists should use this revised tool  
428 to aid communication with patients and to evaluate their services.

429

#### 430 DISCLOSURES

431 Funding source: Cardiothoracic Special Interest Group, Physiotherapy New  
432 Zealand; Counties Manukau Health

433 Conflicts of interest: The authors have no conflicts of interest to declare.

434

#### 435 PERMISSIONS

436 Ethics: Ethical approval was obtained from Auckland University of Technology Ethics  
437 Committee (15/197). Consent was obtained from all participants who took part in  
438 interviews.

439

#### 440 ACKNOWLEDGEMENTS

441 We thank study participants for their time and contribution, and the cardiorespiratory  
442 team for their assistance with recruitment.

443

PRE-PROOF

444 **Appendix A** *Nijmegen Questionnaire*

445

	Never (0)	Rarely (1)	Sometimes (2)	Often (3)	Very often (4)
1. Chest pain					
2. Feeling tense					
3. Blurred vision					
4. Dizzy spells					
5. Feeling confused					
6. Faster / deeper breathing					
7. Short of breath					
8. Tight feelings in the chest					
9. Bloating feeling in the stomach					
10. Tingling fingers					
11. Unable to breathe deeply					
12. Stiff fingers or arms					
13. Tight feelings around the mouth					
14. Cold hands or feet					
15. Palpitations					
16. Feelings of anxiety					

446

447

448 **Table 1 Interview guide**

---

449 Starting questions for patients

---

450 How would you describe what it feels like to have hyperventilation syndrome?

451 Can you tell me about the symptoms that you associate with this condition?

452 How would someone know that you were experiencing hyperventilation syndrome if  
453 they were watching you?

454 What would they miss?

455 Could you think of a specific incident where you were experiencing hyperventilation  
456 syndrome and tell me about those symptoms?

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457 Starting questions for clinicians

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458 How would you describe the signs and symptoms of hyperventilation syndrome?

459 How do you determine if someone is suffering from hyperventilation syndrome?

460 What other symptoms would a family member / friend / support person identify from  
461 an individual with hyperventilation syndrome?

462 Any cases that stood out to you that are different from what you told me already?

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463 Questions relating to the Nijmegen Questionnaire for patients and clinicians

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464 From your perspective, what are your views on the appropriateness of the  
465 questionnaire?

466 - appropriateness of individual complaints

467 - appropriateness of the response options

468 - appropriateness of the language use

469 - any important areas that are not currently included

470 If you were to use this questionnaire, do you think it would give an accurate account  
471 of the symptoms associated with hyperventilation syndrome? Why?

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**Table 2 Symptom categories, sub-categories and symptoms**

Sub-categories	Category 1: Breathing Symptoms	Interview data match with NQ* item number (F=Full; P=Partly) <sup>†</sup> Item text
Altered capacity	1 Hyperventilating / Over breathing	NQ06 (P) Faster or deeper breathing
	2 Breathing more / Deep breathing	NQ06 (P) Faster or deeper breathing
	3 Breathing fast / Shallow breathing	NQ06 (P) Faster or deeper breathing
	4 Difficulty filling lungs / taking deep breaths	NQ11 (P) Unable to breathe deeply
Altered pattern	1 Upper chest breathing	
	2 Noisy / Heavy breathing	
	3 Altered rhythm of breathing	
	4 Breath-holding	
Global changes and difficulties	1 Gasp / Pant / Puff	
	2 Short of breath	NQ07 (F) Short of breath
	3 Air hunger	
	4 Sigh / Yawn	
	5 Difficulty breathing	
Category 2: Psychological Symptoms		

Feelings	1	Anxiety / Fear / Panic	NQ16 (F) Feeling of anxiety
	2	Aggravating / Agitated / Stressed / Rushed	
	3	Chaotic / Confused / Overwhelmed / Frustration	NQ05 (P) Feeling confused
	4	Poor tolerance / Hypervigilance	
	5	Uneasy / Feeling different / Not feeling so good / Something is always at the back of your mind	
	6	Disconnected	
Thoughts	1	Out of control / balance	
	2	Worry	
Category 3: Physical Symptoms			
Bodily regulations	1	Feeling hot / sweaty	
	2	Constipation / Irritable bowel	NQ09 (P) Bloating feeling in stomach
	3	Sleep disturbances	
Bodily sensations	1	Dizziness / Faintness / Light-headedness	NQ03 (P) Blurred vision; NQ04 (F) Dizzy spells

	2	Passing out / Physical collapse / Vision goes dark	
	3	Tiredness	
Head / face / mouth / throat	1	Headache	
	2	Pressure / Exploding feeling	
	3	Frowning / Facial expression	
	4	Pale	
	5	Tight feeling in the throat	NQ13 (P) Tight feelings around mouth
	6	Gritting teeth	NQ13 (P) Tight feelings around mouth
	7	Dry mouth	
	8	Clearing throat	
Heart / chest	1	Heart palpitations / beats fast / racing	NQ15 (F) Palpitations
	2	Chest restriction / tightness	NQ08) (F) Tight Feeling in chest)
	3	Chest pain	NQ01 (F) Chest Pain
Fingers / hands	1	Paraesthesia / Tingling	NQ10 (F) Tingling fingers
	2	Sweaty fingers / palm	NQ14 (P) Cold hands or feet

## Muscle / Posture

- 1 Tense muscles
- 2 Aches and pains
- 3 Postural changes

NQ02 (P) Feeling tense

## Speech / Voice

- 1 Voice changes
- 2 Talking more / faster
- 3 Poor breathing control

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472 \* NQ = Nijmegen Questionnaire item;

473 † F = Full match (consistent language, conceptually congruent); P = Part match (some discrepancy in language or not entirely  
 474 conceptually congruent).

**Table 3 Comparison between Nijmegen Questionnaire items and excerpts from interview data**

Items	Excerpts
Chest pain	"The chest pain kind of group of symptoms." (CLeena)
Feeling tense	"Your muscles would tense up." (PCathy)
Blurred vision	"You feel like you're going to pass out." (PDora)
Dizzy spells	"Sometimes the dizziness just last despite me trying different things to calm my breathing down." (PEva)
Feeling confused	"...their world feels...chaotic or confused..." (CJessica)
Faster or deeper breathing	"They're breathing fast." (CKelvin)
Short of breath	"I do feel like short of breath like I'm not getting enough oxygen." (PEva)
Tight feelings in chest	"It's just kind of...tight, more at the bottom." (PBecky)
Bloated feeling in stomach	"The feeling of constipation or irritable bowel." (CJessica)
Tingling fingers	"Some people have sort of tingling in their hands." (CMargo)
Unable to breathe deeply	"I can't take a deep breath in and I can't completely fill up my lungs." (PAbby)
Stiff fingers or arms	*Nil
Tight feelings round mouth	"Tightening around...your throat." (PCathy)
Cold hands or feet	"I've always got...sweaty palms / fingers." (PEva)
Palpitations	"...[patients] come in ...saying they have palpitations." (CKelvin)
Feeling of anxiety	"A general sort of sense of anxiety." (CMargo)

**Table 4 Distribution of Response Frequencies of the Nijmegen Questionnaire**

Item	Description	Response categories					Missing Freq (%)
		Never (0) Freq (%)	Rare (1) Freq (%)	Sometimes (2) Freq (%)	Often (3) Freq (%)	Very often (4) Freq (%)	
NQ1	Chest pain	79 (33.1)	47 (19.7)	65 (27.2)	27 (11.3)	20 (8.4)	1 (0.4)
NQ2	Feeling tense	29 (12.1)	24 (10.0)	87 (36.4)	60 (25.1)	37 (15.5)	2 (0.8)
NQ3	Blurred vision	96 (40.2)	39 (16.3)	57 (23.8)	32 (13.4)	15 (6.3)	-
NQ4	Dizzy spells	65 (27.2)	40 (16.7)	76 (31.8)	40 (16.7)	17 (7.1)	1 (0.4)
NQ5	Feeling confused	94 (39.3)	51 (21.3)	52 (21.8)	24 (10.0)	18 (7.5)	-
NQ6	Faster or deeper breathing	40 (16.7)	41 (17.2)	77 (32.2)	48 (20.1)	32 (13.4)	1 (0.4)
NQ7	Short of breath	45 (18.8)	33 (13.8)	78 (32.6)	49 (20.5)	33 (13.8)	1 (0.4)
NQ8	Tight feelings in chest	62 (25.9)	40 (16.7)	67 (28.0)	38 (15.9)	31 (13.0)	1 (0.4)
NQ9	Bloated feeling in stomach	67 (28.0)	35 (14.6)	65 (27.2)	36 (15.1)	36 (15.1)	-
NQ10	Tingling fingers	94 (39.3)	42 (17.6)	55 (23.0)	24 (10.0)	22 (9.2)	2 (0.8)
NQ11	Unable to breathe deeply	80 (33.5)	42 (17.6)	55 (23.0)	34 (14.2)	26 (10.9)	2 (0.8)
NQ12	Stiff fingers or arms	99 (41.4)	40 (16.7)	47 (19.7)	27 (11.3)	26 (10.9)	-
NQ13	Tight feelings around mouth	153 (64.0)	38 (15.9)	25 (10.5)	11 (4.6)	11 (4.6)	1 (0.4)
NQ14	Cold hands or feet	81 (33.9)	32 (13.4)	46 (19.2)	33 (13.8)	47 (19.7)	-
NQ15	Palpitations	63 (26.4)	44 (18.4)	82 (34.3)	30 (12.6)	20 (8.4)	-
NQ16	Feeling of anxiety	35 (14.6)	38 (15.9)	72 (30.1)	51 (21.3)	43 (18.0)	-

*Note.* Freq = frequency

**Table 5 Summary of Fit Statistics of the Nijmegen Questionnaire to the Rasch Model**

Analysis Number	Item fit residual	Person fit residual	Chi-square interaction	Chi-square Probability	PSI (without extremes)	$\alpha$ (without extremes)	Tests of unidimensionality
	Mean (SD)	Mean (SD)	Value (df)	$p$			Significant $t$ -test (95% confidence interval)
One *	0.41 (1.50)	-0.27 (1.61)	109.4 (48)	0.000	0.880	0.890	5.1% (2.3 to 7.8)
Two †	0.39 (1.15)	-0.31 (1.58)	67.8 (45)	0.016	0.879	0.891	5.5% (2.7 to 8.3)
Three ‡	0.06 (0.97)	-0.22 (1.20)	41.9 (45)	0.604	0.826	0.869	5.8% (2.9 to 8.6)
Four §	0.06 (0.86)	-0.21 (1.02)	36.1 (45)	0.205	0.789	0.809	1.8% (1.1 to 4.6)

*Note.* SD = standard deviation; df = degrees of freedom;  $p$  = probability; PSI = Person Separation Index;  $\alpha$  = Cronbach's alpha.

\* Fit to the Rasch model of all 16 items.

† Fit to the Rasch model after deleting item NQ14.

‡ Fit to the Rasch model after rescoring response categories for items with disordered thresholds.

§ Fit to Rasch model after merging of items.

**Table 6 Summary of Local Dependencies of the Nijmegen Questionnaire**

Analysis	Item		Locally dependent with:	
Number	Item	Description	Item	Description
One * and Two †	1	Chest pain	8	Tight feelings in chest
	2	Feeling tense	5, 16	Feeling confused, Feeling of anxiety
	3	Blurred vision	4	Dizzy spells
	6	Faster or deeper breathing	7	Short of breath
	7	Short of breath	11	Unable to breathe deeply
	10	Tingling fingers	12	Stiff fingers or arms
Three ‡	1	Chest pain	8	Tight feelings in chest
	2	Feeling tense	5, 16	Feeling confused, Feeling of anxiety
	6	Faster or deeper breathing	7	Short of breath
	10	Tingling fingers	11, 12	Unable to breathe deeply, Stiff fingers or arms
Four §	No local dependency			

\* Fit to the Rasch model of all 16 items. † Fit to the Rasch model after deleting item NQ14. ‡ Fit to the Rasch model after rescaling response categories for items with disordered thresholds. § Fit to Rasch model after merging of items.

**Table 7 Rescore strategy for response categories of the Nijmegen Questionnaire**

Strategy	Response options				
	Never	Rare	Sometimes	Often	Very Often
	(0)	(1)	(2)	(3)	(4)
1 <sup>st</sup> rescore	0	1	1	2	3
2 <sup>nd</sup> rescore	0	0	1	2	3
3 <sup>rd</sup> rescore	0	0	1	1	2

**Table 8 Conversion table for the Nijmegen Questionnaire**

Raw total score	Logit	Interval score
0	-3.438	0.00
1	-2.710	4.62
2	-2.234	7.64
3	-1.923	9.62
4	-1.690	11.10
5	-1.502	12.29
6	-1.344	13.30
7	-1.207	14.17
8	-1.085	14.94
9	-0.975	15.64
10	-0.875	16.27
11	-0.782	16.86
12	-0.696	17.41
13	-0.616	17.92
14	-0.540	18.40
15	-0.469	18.85
16	-0.400	19.29
17	-0.334	19.71
18	-0.270	20.11
19	-0.208	20.51
20	-0.148	20.89
21	-0.088	21.27

**Table 9** COSMIN checklist for Content Validity

Questions to determine if a study meets the standards for methodological quality	Excellent	Good	Fair	Poor
1 Was there an assessment of whether all items refer to the relevant aspects of the construct to be measured?	✓			
2 Was there an assessment of whether all items are relevant for the study population?	✓			
3 Was there an assessment of whether all items are relevant for the purpose of the measurement instrument?	✓			
4 Was there an assessment of whether all items together comprehensively reflect the construct to be measured?	✓			
5 Were there any important flaws in the design or methods of the study?	✓			

*Note.* The definition of *excellent* for different questions are: 1 = Assessed if all items refer to relevant aspects of the construct to be measured. 2 = Assessed if all items are relevant for the study population in adequate sample size ( $\geq 10$ ). 3 = Assessed if all items are relevant for the purpose of the application. 4 = Assessed if all items together comprehensively reflect the construct to be measured. 5 = No other important methodological flaws in the design or execution of the study.

482 **Figure 1. Differential Item Functioning for item NQ14 Cold hands or feet.**

483 See file which we have uploaded separately.

PRE-PROOF

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