

**THE USE OF ASSISTED AUTOGENIC DRAINAGE IN CHILDREN WITH CYSTIC FIBROSIS, A PILOT  
RANDOMIZED CONTROLLED STUDY.**

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The final publication will be available at IOS Press

Citation: Corten, L., Morrow, B.M. (2019). The use of assisted autogenic drainage in children with cystic fibrosis, a pilot randomized controlled study. *Physiotherapy Practice and Research* [in press]

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# 1 THE USE OF ASSISTED AUTOGENIC DRAINAGE IN CHILDREN WITH CYSTIC FIBROSIS, A PILOT

## 2 RANDOMIZED CONTROLLED STUDY.

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### 3 ABSTRACT

4 **Background:** Airway clearance therapy (ACT) is standard practice to promote pulmonary mucus  
5 clearance in children with cystic fibrosis (CF). However, the efficacy of assisted autogenic drainage  
6 (AAD) in children has not been studied.

7 **Objectives:** To pilot a home-based randomized controlled trial (RCT) to compare the effects of AAD to  
8 standard ACT in children with CF aged one to eight years.

9 **Methods:** Children with CF, aged one to eight years, were randomly assigned into intervention (AAD)  
10 or control (standard ACT) groups. The ACTs were taught to parents and children, to perform twice  
11 daily for a year. Primary outcome measures were number of hospitalizations, days of hospitalization,  
12 exacerbations and days of antibiotic therapy in one year. Secondary outcome measures included  
13 spirometry, and adherence assessed on every visit (through calendar and sticker system); parental  
14 proxy health related quality of life score (EQ-5D-Y), current clinical status (CF Clinical Score), weight-,  
15 height-, and BMI-for-age z-scores assessed at baseline and after one year; and preference of ACT and  
16 mortality rates were assessed at the end of the study. At the end of the study, a self-designed one on  
17 one subjective semi-structured interview with the parent(s)/caregiver(s) regarding physiotherapy  
18 management was conducted.

19 **Results:** Of 36 children screened for inclusion, 16 were enrolled. Seven were randomly allocated to  
20 the control group (median [IQR] age 5.7 [3.0-6.0] years, four male and three female), and nine to the  
21 intervention group (median [IQR] age 5.8 [5.5-6.6] years, five male and four female). There were no  
22 significant between-group differences, however number of exacerbations revealed medium (Cohen's  
23  $d=0.55$ ) effect sizes, favoring the intervention group. Although no significant improvements were

24 seen within the intervention group, large effect sizes were found for the CF subjective and total  
25 clinical scores; and health related quality of life (Cohen's  $d= 1.07$ ,  $d= 0.87$ , and  $d= 0.86$  respectively).  
26 This pilot study identified a number of concerns, mainly poor adherence to home-therapy in both  
27 arms of the study, and no participant in the intervention group solely performing AAD as per pre-set  
28 methodology, limiting interpretation of results.

29 **Conclusion:** There is a need for confirmatory, adequately powered trials to evaluate safety and  
30 efficacy of AAD in children with CF. Future research needs to also consider measures to ensure better  
31 adherence to ACT.

32 **Trial registry:** PACTR201501001016415

33 **Keywords:** Airway Clearance Therapy, Assisted Autogenic Drainage, Cystic Fibrosis, Pediatrics

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35 **INTRODUCTION**

36 Cystic fibrosis (CF), an autosomal recessive hereditary disease, affects all exocrine glands in the body,  
37 resulting in an altered airway environment leading to a vicious cycle of reduced mucociliary clearance  
38 secretion retention, airway obstruction, secondary chest infections and inflammation; and can result  
39 in irreversible lung damage (bronchiectasis) and respiratory failure [1,2]. Airway clearance therapy  
40 (ACT) has been shown to have positive effects on pulmonary mucus clearance in children with CF [3],  
41 and has been recommended by current guidelines for the management of CF [4,5]. However, the  
42 majority of studies investigating ACT in children with CF included children older than six years of age,  
43 with very few studies including infants and young children. In children under eight years of age,  
44 assisted autogenic drainage (AAD), a modified form of autogenic drainage (AD), may be used as it can  
45 be performed passively or active assisted [6]. This technique uses breathing at three different lung  
46 volumes; applying the principle of shear forces by producing optimal airflow in the small airways to  
47 remove secretions from peripheral to central airways, thereby theoretically preventing collapse of  
48 the small airways [7]. However, as most young patients cannot independently change the lung  
49 volumes towards the desired level, the physiotherapist manually alters the levels of breathing by  
50 applying graded pressure to the chest wall [6]. No gastro-esophageal reflux or other complications  
51 have been associated with AAD [8].

52 As the relative utility and superiority of individual ACTs in children younger than eight years of age is  
53 unknown, development of evidence-based information is a priority for this group of children. No  
54 randomized controlled trials (RCTs) have been published on AD or AAD in children with CF [9].

55 Therefore, this pragmatic study aimed to pilot a home-based RCT, to compare the effects of AAD to  
56 standard ACT in children with CF aged one to eight years.

## 57 **METHODOLOGY**

### 58 **Study design**

59 A single blinded (outcome assessor and data analyst blinded to allocation), pragmatic RCT was  
60 conducted at a multidisciplinary pediatric outpatient CF clinic. Treatment consisted of a taught  
61 home-program, executed by the parents who underwent training by the clinic's physiotherapist (see  
62 Supplement 1 for training information). The study ran from 15 January 2015 until 30 September  
63 2016.

64 This study aimed to enroll 30 children to be randomly assigned (1:1 ratio) to the intervention (n=15)  
65 or control (n=15) group (see Figure 1). Sample size was determined based on the estimated patient  
66 population, between one and eight years of age, attending the clinic (personal communication with  
67 CF clinic staff).

### 68 **Subjects**

69 Children diagnosed with CF, confirmed by two positive sweat tests and/or genotype analysis,  
70 between the age of one and eight years, who were followed up at the CF clinic, were considered for  
71 inclusion in the study. Exclusion criteria were: awaiting a lung transplant, severe scoliosis or kyphosis,  
72 osteoporosis, recent history (<six months) of pneumothorax or thoracic/abdominal surgery;  
73 emphysema or active sarcoidosis, premature birth ( $\leq 30$  weeks), on anti-tuberculosis treatment,  
74 untreated/uncontrolled asthma, or known to be non-compliant to physiotherapy treatment prior to  
75 recruitment.

### 76 **Outcome measures**

77 This study's primary outcome measures were number of hospitalizations, days of hospitalization,  
78 exacerbations and days of antibiotic therapy during one year. The secondary outcome measures  
79 were: spirometry and adherence, documented with a self-designed calendar and sticker system,

80 which was reviewed at each follow up visit (determined at the physician's discretion, often  
81 performed quarterly); parental proxy health related quality of life score (HRQOL) by the EuroQol- five  
82 dimensions youth version (EQ-5D-Y), validated for the South African context and valid for children  
83 with CF [10,11]; current clinical status by the CF clinical score (CFCS), validated in a pediatric  
84 population [12]; weight-, height-, and BMI-for-age z-scores at baseline and end of study; preference  
85 of ACT (AAD versus standard ACT), using an adapted version of a standardized questionnaire  
86 designed by Jarad et al. (2010) [13] at first follow up and at the end of the study; and mortality rate  
87 at the end of the study period.

## 88 **Interventions**

89 Standard treatment, consisting of manual techniques (modified postural drainage (PD), percussion  
90 and vibration) and/or positive expiratory pressure (PEP) therapy or Flutter device and/or  
91 components of the active cycle of breathing technique (ACBT), was given to children in the control  
92 group, according to age, ability and preference[14]. The intervention group received AAD, performed  
93 in an upright position, standardizing the treatment position and optimizing use of the diaphragm,  
94 improving ventilation and influencing fatigue in infants and young children [6,15]. The caregiver  
95 manually increased the expiratory flow velocity and prolonged expiration towards residual volume by  
96 placing the hands on the child's chest and gently following the breathing of the child while lowering  
97 thoracic expansion [6].

98 In this home-based study, treatments were meant to be performed twice daily. Duration of the  
99 treatments varied between five to 30 minutes, depending on the child's tolerance (parents were  
100 educated on how to identify signs of respiratory distress, hypoxemia and infection). Parents were  
101 asked to carry out the intervention every day around the same time, once in the morning and once in  
102 the afternoon or evening.

103 **Procedure**

104 Institutional ethical approval (HREC 648/2013) and approval from the medical superintendent at the  
105 research site was obtained. Participants were recruited during the weekly CF-clinic, over a 20 month  
106 time period. Eligibility of children was determined by screening the patients' medical files. Written  
107 informed consent was obtained from the parent(s) and assent was obtained from children older than  
108 five years of age. An overview of the study procedure can be found in Supplementary file 3. All  
109 participants went through the same procedure unless otherwise indicated in Supplementary file 3.

110 At the first follow up visit, approximately three months after recruitment, it became evident that  
111 compliance to a daily diary (indicating when, for how long, and which ACTs were given; and the  
112 reason(s) for terminating the intervention) was poor. Therefore, parents and patients were asked to  
113 adhere to a self-designed monthly calendar and sticker system. For each completed treatment, the  
114 child placed a sticker on the calendar. At each CF-clinic visit (quarterly for most participants), parents  
115 were asked to hand in their calendar for data storage and to assess adherence to treatment. If  
116 adherence was poor (determined by the clinic physiotherapist), the importance of ACT was  
117 emphasized and the parents counselled appropriately. Calendars were returned, approximately  
118 every three months, for the majority of participants.

119 After one year follow up, the CF clinic's social worker, who was blinded to group allocation and had  
120 an established rapport with clinic patients, conducted a self-designed one on one semi-structured  
121 interview with the parent(s)/caregiver(s) regarding physiotherapy management. Quantitative  
122 information was collected regarding the technique(s) used in the past year, duration and frequency  
123 of each technique; and qualitative information regarding the likes and dislikes of the different  
124 techniques used, with reasons for failure to perform technique(s) twice per day during the study  
125 period. This end of study interview was added to the protocol to obtain impartial, and honest  
126 reflection on adherence to the ACT interventions during the study period, and to gain insights into  
127 reasons for noncompliance, and preference. The interview answers were recorded in writing by the

128 social worker. No identifying information was available on the documents. It was emphasized that  
129 the information provided to the social worker would be used for research purposes only and not for  
130 patient management.

### 131 **Data-analysis**

132 All data were entered into an Excel spread sheet and analyzed with Statistica (Version 12, StatSoft  
133 Inc, Tulsa USA). An intention-to-treat analysis was performed. As the majority of data were skewed  
134 (based on the Lilliefors test) and the sample size was small, non-parametric tests were performed.  
135 Comparison between the control group and intervention groups on dichotomous data were done  
136 using the chi square test and Fisher exact or Yates correction; and numeric outcome parameters  
137 were analyzed using the Mann-Whitney U test. Effect size was calculated for outcome measures  
138 which showed, on initial univariate analysis, to have either a significant difference or a trend towards  
139 significant between-groups differences. The  $r$  value was calculated with the formula  $r = z/\sqrt{N}$  and  
140 converted into a Cohen's  $d$  value by using the formula  $d = 2r/\sqrt{1-r^2}$  [16]. Interpretation of the effect  
141 size was based on Cohen's guidelines for small ( $d = 0.2$ ), medium ( $d = 0.5$ ) and large ( $d = 0.8$ ) effect  
142 sizes [17]. Within group analysis was done by using the Wilcoxon matched pairs test. Quantitative  
143 results from the interview were presented as number of participants, frequencies of performed  
144 techniques and median (IQR) of duration of performed techniques. The qualitative information  
145 regarding likes and dislikes of the different techniques as well as the reasons for failure to perform  
146 technique(s) twice per day during the study period, was analyzed by identifying common themes or  
147 statements.

## 148 **RESULTS**

### 149 **Subjects**

150 A total of 36 children, between the age of one and eight years, were screened. Nineteen children  
151 were excluded prior to enrolment due to various reasons (Supplementary file 3). One child, allocated

152 to the control group, was excluded post-enrolment as the diagnosis of CF was questioned by the  
153 attending physician. The other participants all had a clear, confirmed diagnosis of CF. Therefore, 16  
154 participants were included in the study (median [IQR] 5.8 [4.3-6.3] years), nine in the intervention  
155 group and seven in the control group. All participants completed the one-year clinical trial, with no  
156 loss to follow up. Baseline characteristics of participants were similar between groups (Table 1).

### 157 **Results between groups at 12 months**

158 No significant differences were seen between the intervention and control group for any of the  
159 outcome measures (Table 2 and Supplementary file 2). However, calculation of the effect sizes  
160 revealed a medium effect size for 'number of exacerbations during one year' ( $d= 0.55$ ), with fewer  
161 exacerbation during one year in the intervention group; and a small to medium effect size for 'days  
162 on antibiotic therapy during one year' ( $d= 0.48$ ), for fewer days on antibiotic therapy in the  
163 intervention group.

164 Due to the small number of participants ( $n= 2$  in the control and 3 in the intervention group) who  
165 performed acceptable lung function tests [based on the quality criteria set by the ATS/ERS [18]], no  
166 statistical analyses were performed for this outcome measure.

### 167 **Results within groups**

168 There were no significant changes between baseline and end of study for any of the outcome  
169 measures in either group (Supplementary file 2). However, large effect sizes for EQ-5D-Y visual  
170 analogue scale score, and CFCS subjective subtotal and total scores were observed in favor of the  
171 intervention group ( $d= 1.07$ ,  $d= 0.87$ , and  $d= 0.86$  respectively).

## 172 **Subjective questionnaire for preference and interview for adherence**

### 173 *After one month*

174 Seven of the nine participants in the intervention group completed a written questionnaire with  
175 regards to their experience and preference of AAD compared to their ACT regime prior to the study  
176 (adapted from Jarad et al. 2010 [13]). Although AAD was not experienced as worse than the  
177 previously used ACTs for most aspects of the questionnaire, four of the seven participants would  
178 prefer to return to their previously used ACT. Participants remained in their allocated study arm  
179 throughout the entire study period, however, these participants were given additional information  
180 on the potential benefits of the technique and the clinic therapist had a discussion with participants  
181 regarding difficulties of administering the technique. The two participants who would prefer to use  
182 AAD instead of their previous ACT indicated that AAD was similar or better on all aspects of the  
183 questionnaire. One participant would prefer to combine AAD with their previous ACT.

### 184 *End of study interview*

185 An overview of the techniques used and duration can be found in Supplementary files 2 and 3. The  
186 themes regarding likes and dislikes per technique are presented in Table 3.

187 For both groups, percussions and active play were the most preferred techniques during the study  
188 period, as they were performed most often, had most likes and least dislikes.

### 189 *Intervention group*

190 Two participants were not interviewed at the end of the study, as their primary caregivers were not  
191 present at the visit. Therefore, only information on seven of the nine participants in the intervention  
192 group is presented.

193 Four of the seven participants used AAD during the trial. The other three reported not having used  
194 AAD throughout the study period. Furthermore, each of the following techniques was used by one

195 participant: Flutter, vibrations, ACBT, and blowing water; and five of the participants used additional  
196 percussions and active play.

197 Non-adherence to AAD was due to the following themes: time consuming treatment regime (n=3)  
198 and the child resisting treatment (n=2). One participant indicated that there was no reason for non-  
199 adherence, and one participant declined to answer this question.

## 200 Control group

201 In the control group, only three of the seven participants performed twice daily ACT. Techniques  
202 performed by participants in the control group during the study period were percussions (n=7),  
203 Flutter (n=2), vibrations (n=2) and ACBT (n=1). Further, six were engaged in active play/exercise  
204 during the day.

205 Themes for non-adherence in this group were: time consuming treatment regime (n=3), child not  
206 being productive/ill (n=2), child vomits after treatment (n=1). Three participants did not provide a  
207 reason for non-adherence.

## 208 DISCUSSION

209 This study did not reveal any significant differences between the intervention (AAD) and control  
210 group (standard ACT) for any of its primary and secondary outcome measures. Due to the small  
211 number of participants, who poorly adhered to therapy prescription, no conclusions can be made  
212 regarding the effectiveness of AAD in children with CF aged one to eight years. However, interesting  
213 insights were gained with regards to the outcome measures and the methodology. This can be used  
214 to inform the development of future protocols, which could entail multi-center trials to increase  
215 sample size.

216 As no literature is available on AAD in patients with CF, no comparison can be made with the current  
217 study's results. Although several studies have been published on the use of AD in children with CF,

218 only one study by McIlwaine et al. [19], solely focused on children (aged 12-18 years), comparing AD  
219 with PD and percussions performed twice daily, in a two year long term home-based randomized  
220 cross-over study [19]. The other studies failed to report separate data for adults and children, for  
221 which they cannot be compared to the current study's results.

222 The primary outcome measure 'number of hospitalizations in one year' was not different between  
223 the two groups. This is in line with the results found in a study by McIlwaine et al [19]. Although the  
224 current study's other primary outcome measure, 'number of exacerbations during one year', was not  
225 reported by McIlwaine et al.; they did note that more hospitalizations for pulmonary exacerbations  
226 were required for participants in the PD with percussions arm of the study, compared to the AD arm  
227 [19]. A small to medium effect size of fewer days on antibiotic therapy in the intervention group was  
228 found in the current study, which could suggest that AAD might clear infectious secretions from the  
229 lungs, therefore influencing antibiotic need. However other factors might influence antibiotic usage,  
230 such as bacterial load and type, manner of antibiotics administration and whether antibiotics were  
231 prescribed for long- or short- term use [20]. Therefore, trials with larger sample sizes are required to  
232 confirm results.

233 No significant differences between groups for the current study's secondary outcome measures were  
234 found. Although, spirometry, in particularly forced expiratory volume in one second ( $FEV_1$ ), is often  
235 recommended for monitoring disease progression in patients with CF [5,21]; improvements in CF  
236 management impact the value of  $FEV_1$  in monitoring disease progression [22]. Therefore, spirometry  
237 might not be the best outcome measure to use in future research studies. Furthermore, parents  
238 scored the current health of their children high on the EQ-5D-Y visual analogue scale (medians  
239  $>85/100$ ). This could be a result of the chronic nature of the disease, for which coping mechanisms  
240 might have led to adaptations in lifestyle and disease perceptions [10]. No comparison could be  
241 made with other studies on AD in the CF population. However, as patients with CF face many  
242 challenges throughout their life, assessment of HRQOL is important in this population [23]. Future

243 research could investigate the use of the Pediatric Quality of Life Questionnaire for children from the  
244 age of five years or the Cystic Fibrosis Questionnaire for children six years and older [24,25]. The  
245 current study also used the CFCS, which has not been reported in other studies in the CF population.  
246 Although there were large effect sizes for subjective and total CFCS scores within the intervention  
247 group in the current study, CFCS might not be the most appropriate outcome measure for a one year  
248 intervention as it was developed to assess the patient's current clinical status [12,26]. The CFCS  
249 could be used on a more routine basis, e.g. during each follow up visit, to assess more objectively the  
250 presence of an exacerbation and the need for hospitalization.

251 Mortality was not included as an outcome measure in any of the studies on AD in patients with CF,  
252 for which no comparison could be made. However, due to the young age included in the current  
253 study, mortality rate might not have been an appropriate outcome measure as mortality at such a  
254 young age is uncommon. Although not an intended outcome measure, no adverse events were  
255 reported in either arm of the current study.

256 Finally, a preference for standard ACTs rather than AAD in the participants in the intervention group  
257 after one month in the study was revealed. This is in contrast with the study by McIlwaine et al,  
258 where 10 of the 17 participants did not want to return to PD with percussions after completion of the  
259 AD arm of the study [19]. This discrepancy between studies could be due to the difference in  
260 application of AD and AAD, as AD can be performed independently at any time of the day [7].

261 However, AAD is a passive/assisted technique which requires the caregiver to actively participate in  
262 applying the technique [6,27]. Two of the four caregivers who reported to use AAD at the end of the  
263 study period did mention that AAD was difficult to administer. AAD might therefore require more  
264 training of the caregiver, similar to AD, where the patient also requires multiple training session  
265 before effectively being able to apply the technique [28]. Although, the training of caregivers was  
266 performed in a standardized manner, done by the same therapist, with insurance of skill acquisition  
267 by demonstration and monitored every follow up to prevent change in the performance throughout

268 the study [29,30]; one session with three monthly follow up might not be sufficient to master and  
269 monitor this more complex technique. For future research, a more intense and regular training  
270 program might be needed to train the caregivers in applying AAD. Furthermore, in future studies, it is  
271 important to ensure buy-in from participants and parents, as well as monitor adherence to treatment  
272 protocol more in depth [29,30]. The use of a RCT study design might not be feasible in long-term ACT  
273 research, for which other designs should be considered to eliminate the post-randomization drop out  
274 [22]. Integration of AAD in the ACT toolkit from an early age could also be beneficial, as caregivers  
275 and children get used to the technique and be more comfortable in its application.

276 Although standard ACTs were preferred to AAD, the adherence to these techniques was also poor.  
277 Studies on adherence to ACT and general medical treatment in children with CF reported an overall  
278 poor adherence to therapy (<50%)[31]. Factors influencing treatment adherence of children with CF  
279 and their caregivers include: socio-economic status (with maternal education and income higher than  
280 \$50 000 identified as positive influencers for adherence); mental health (depression and anxiety, in  
281 both the child and the caregiver, negatively influencing treatment adherence); family relationships  
282 (supportive parents and a good child-parent relationship positively influencing adherence); time-  
283 consuming therapy; and understanding of the disease progression and necessity of therapy[32–35].  
284 In the current study, the main reason for non-adherence to ACT was the time-consuming nature of  
285 the intervention. Adherence to the original daily diary monitoring tools was also poor, for which it  
286 was changed to a calendar and sticker system. An end of study subjective interview was added to the  
287 protocol to further investigate participants' adherence to ACT. However, this method of data  
288 gathering is not objective (self-reported adherence is found to be higher than objectively measured  
289 adherence)[36], and the current study failed to audio recorded and transcribed the interviews, nor  
290 were the qualitative information analyzed based on a framework. This could have limited the  
291 interpretability of the results, and the adherence rates provided in this current study cannot be used  
292 for generalization to a broader population. However, if self-reported treatment adherence is higher

293 than the actual adherence, the rates of adherence to twice daily ACTs in the current study are  
294 worrisome; highlighting the need for the use of an objective adherence monitoring tool to objectively  
295 quantify the problem in future studies. Several options have been reported in literature, such as daily  
296 telephone calls and electronic devices to monitor adherence [32,36,37]. However, these are not  
297 always feasible due to high cost and time constraints. Therefore, cost effective methods of  
298 adherence follow-up, monitoring adherence more frequently, from early in the study, should be  
299 explored, such as SMS, email, apps or online messaging.

300 This study is the first pilot RCT on the use of AAD in children with CF. The results of this study add to  
301 the body of knowledge on AAD as an ACT in this population. This pilot study identified that AAD  
302 might be a useful technique to add to the airway clearance 'toolbox' for children with CF as no  
303 adverse events were reported and a small to medium effect size for number of days on antibiotic  
304 therapy was found, benefiting the intervention group. However, a number of concerns were also  
305 identified, mainly poor adherence to home-therapy and no participant in the intervention group  
306 solely performing AAD as per pre-set methodology, limiting interpretation of results and feasibility of  
307 conducting a full-scale long-term RCT in this population. The pre-specified sample of 30 participants  
308 could not be enrolled due to the limited availability of eligible participants at the CF clinic.

## 309 **CONCLUSION**

310 Conclusions cannot be made regarding the effectiveness and safety of AAD in children with CF due to  
311 the small sample size included in this study and the lack of adherence to the prescribed intervention.  
312 Further research is needed to investigate the usefulness of AAD in children of this population and to  
313 tackle issues related to treatment adherence.

314 **ACKNOWLEDGEMENT**

315 We would like to thank all the participants and their parents for participating in this study. We would  
316 also like to thank medical staff of the CF clinic at Red Cross War Memorial Children's Hospital for  
317 their support.

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**TABLE 1. BASELINE CHARACTERISTICS OF PARTICIPANTS**

Characteristic	Control group, n= 7	Intervention group, n= 9	p-value
Gender, Male (n)	4	5	p= 0.671
Age (years), median (IQR <sup>a</sup> )	5.7 (3.0-6.0)	5.8 (5.5-6.6)	p= 0.266
Age diagnosis (months), median (IQR <sup>a</sup> )	3.0 (0.0-6.0)	3.0 (1.0-6.0)	p= 1.000
Age start physio (months), median (IQR <sup>a</sup> )	4.0 (3.0-6.0)	6.0 (2.5-10.5)	p= 0.563
Gestation			p= 0.964
- Term (n)	7	7	
- Preterm (n)	0	1	
- Unknown (n)	0	1	
History of TB <sup>b</sup> (n)	0	1	p= 0.563
Asthma (n)	1	3	p= 0.392
Pancreas insufficient (n)	7	9	P= 1.000
HIV <sup>c</sup> status			p= 0.958
- Negative (n)	6	7	
- Exposed (n)	1	1	
- Positive (n)	0	0	
- Unknown (n)	0	1	
Genotype			p= 0.422
- $\Delta$ F508 (n)	5	6	
$\Delta$ F508 homozygous	4	5	
$\Delta$ F508 unknown	1	1	
- 3120 (n)	0	3	
3120/G A homozygous	0	2	
3120/G A heterozygous	0	1	
- Unknown (n)	2	0	
Colonisation/ infection			p= 0.797
- St. Aureus (n)	4	8	
- MRSA <sup>d</sup> (n)	1	0	
- Pseudomonas (n)	0	1	
- None (n)	2	0	

<sup>a</sup>IQR= Interquartile Range; <sup>b</sup>TB= Tuberculosis; <sup>c</sup>HIV= Human Immunodeficiency Virus; <sup>d</sup>MRSA= Meticillin-Resistant *Staphylococcus Aureus*

**TABLE 2. PRIMARY OUTCOME MEASURES**

Outcome measure	Control		Intervention		p-value
	Total	Median (IQR)	Total	Median (IQR)	
<b>Number of hospitalisations</b>	4	0.0 (0.0-1.0)	2	0.0 (0.0-0.0)	0.791
<b>Days of hospitalisation</b>	25	0.0 (0.0-7.0)	19	0.0 (0.0-7.0)	0.832
<b>Number of exacerbations</b>	12	2.0 (1.0-3.0)	10	1.0 (1.0-1.0)	0.290
<b>Days on antibiotic therapy</b>	264	20.0 (10.0-97.0)	167	10.0 (5.0-15.0)	0.355

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**TABLE 3. THEMES IDENTIFYING THE LIKES AND DISLIKES PER AIRWAY CLEARANCE TECHNIQUE**

Technique	Subjective themes per technique	
	Likes	Dislikes
<b>AAD<sup>a</sup></b>	<ul style="list-style-type: none"> <li>- It works (n=2)</li> <li>- Easy (n=1)</li> <li>- Active technique (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Difficult technique (n=2): didn't get it right (n=1)</li> <li>- Unsure when to stop (n=1)</li> <li>- Not easy to distract the child (n=1)</li> <li>- Less cough production (n=1)</li> <li>- Child tires easily (n=1)</li> <li>- Child needs to actively cooperate (n=1)</li> </ul>
<b>Flutter</b>	<ul style="list-style-type: none"> <li>- It works (n=2)</li> <li>- Easy (n=1)</li> <li>- Feel the vibration/feel that it does something (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Feels like a duty (n=1)</li> <li>- Child vomits after the technique (n=1)</li> <li>- Nothing (n=1)</li> </ul>
<b>Percussions</b>	<ul style="list-style-type: none"> <li>- Easy (n=6)</li> <li>- It works (n=2)</li> <li>- Routine/been doing it from the beginning (n=2)</li> <li>- Parent feels what he/she is doing (n=1)</li> <li>- Bonding and adaptable (n=1)</li> <li>- Cough augmentation (n=1)</li> <li>- Sleeps better (n=1)</li> <li>- Relaxing (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Nothing (n=6)</li> <li>- Time consuming (n=2)</li> <li>- Scared to hurt the child (n=2)</li> <li>- Feels like a duty (n=1)</li> <li>- Needs to convince child (n=2)</li> <li>- Painful hands (n=1)</li> </ul>
<b>Vibrations</b>	<ul style="list-style-type: none"> <li>- Effective (n=1)</li> <li>- Easy (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Time consuming (n=1)</li> <li>- Feels like a duty (n=1)</li> <li>- Not sure how to perform technique</li> </ul>
<b>ACBT<sup>b</sup></b>	<ul style="list-style-type: none"> <li>- It works (n=1)</li> <li>- Easy to motivate the child (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Feels like a duty (n=1)</li> <li>- Nothing (n=1)</li> </ul>
<b>Active play</b>	<ul style="list-style-type: none"> <li>- Active (n=3)</li> <li>- Parents are happy when child tires (n=2)</li> <li>- Fun (n=1)</li> <li>- Gets out frustrations (n=1)</li> <li>- No extra effort (n=1)</li> <li>- Independent (n=1)</li> <li>- Clears secretions (n=1)</li> <li>- Keeps child busy (n=1)</li> <li>- Parent can see that the child is healthy (n=1)</li> <li>- Child can be him/herself (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- Nothing (n=5)</li> <li>- Needs to observe the child (n=1)</li> <li>- Fear of dehydration (n=1)</li> <li>- Child destroys furniture (n=1)</li> </ul>
<b>Other: blowing water (bubble PEP<sup>c</sup>)</b>	<ul style="list-style-type: none"> <li>- It works (n=1)</li> </ul>	<ul style="list-style-type: none"> <li>- none (n=1)</li> </ul>

<sup>a</sup>AAD = Assisted Autogenic Drainage; <sup>b</sup>ACBT = Active Cycle of Breathing Technique; <sup>c</sup>PEP= Positive Expiratory Pressure therapy

**SUPPLEMENTARY FILE 1. TRAINING OF CAREGIVERS**

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At baseline, all parents in both groups received one on one education on the disease and the importance of regular physiotherapy for airway clearance. Thereafter, the clinic therapist explained the principle(s) of the selected technique(s) at a language level deemed appropriate by the physiotherapist; the technique(s) were demonstrated and then the parents showed the therapist how they would perform the technique. The therapist made corrections to the parent's handling if necessary. The parents were encouraged to ask questions during the entire teaching session and afterwards time was given to reflect on the handling and addressing questions. Finally the parents were asked to demonstrate the technique without feedback from the physiotherapist to make sure they understood it entirely. When the performance was inadequate, the physiotherapist gave more feedback to ensure the parents knew how to perform the treatment. Only when an adequate level of performance (without feedback) was achieved by the parents, were they included in the study [40-42]. The training took 15 – 30 minutes, depending on individual needs. The parents were given contact information for the physiotherapist if they had any questions or concerns. All training was conducted one on one with the parents and the same clinic therapist.

**SUPPLEMENTARY FILE 2: ADDITIONAL TABLES**

**SECONDARY OUTCOME MEASURES FOR THE CONTROL AND INTERVENTION GROUPS, PRESENTED AS MEDIAN AND IQR**

Outcome measure		Control		Intervention		Change over time: median differences (95%CI <sup>a</sup> )		Between groups at baseline and end		Within group from baseline to end	
Category	Outcome	Baseline	End	Baseline	End	Control	Intervention	Baseline	End	Control	Intervention
CFCS <sup>b</sup> n= 7 control n= 9 intervention	Subjective	8.0 (7.0-11.0)	8.0 (6.0-12.0)	11.0 (10.0-12.0)	8.0 (6.0-11.0)	0.0 (-4.2-4.2)	-3.0 (-6.0-0.0)	p= 0.072	p = 0.832	p= 0.753	p= 0.091
	Objective	6.0 (6.0-8.0)	7.0 (5.0-8.0)	6.0 (6.0-7.0)	6.0 (5.0-6.0)	1.0 (-1.9-3.9)	0.0 (-1.3-1.3)	p= 1.000	p= 0.341	p= 0.787	p= 0.418
	Total	13.0 (13.0-19.0)	14.0 (11.0-22.0)	17.0 (17.0-18.0)	14.0 (12.0-16.0)	1.0 (-5.1-7.1)	-3.0 (-6.7-0.7)	p= 0.204	p= 0.958	p= 1.000	p= 0.093
EQ-5D-Y <sup>c</sup> n= 7 control n= 9 intervention	VAS <sup>d</sup> score	91.0 (90.0-99.0)	95.0 (75.0-100.0)	85.0 (80.0-95.0)	92.5 (90.0-10.00)	4.0 (-19.6-27.6)	7.5 (-6.4-21.4)	p= 0.315	p= 0.721	p= 0.917	p= 0.068
Anthropometry n= 7 control n= 9 intervention	BMI <sup>e</sup> -for-age z-score	0.3 (-1.0-2.3)	-0.7 (-1.4-1.8)	0.1 (-0.2-0.4)	-0.3 (-0.5-0.1)	-1.0 (-3.9-1.95)	-0.4 (-0.9-0.2)	p= 0.751	p= 0.672	p= 0.735	p= 0.139
	Weight-for-age z-score	-1.2 (-1.5-0.4)	-1.0 (-1.5-1.5)	-1.0 (-1.1-(-0.5))	-0.8 (-1.4-(-0.1))	0.2 (-2.7-3.1)	0.2 (-1.0-1.4)	p= 0.832	p= 1.000	p= 0.612	p= 0.767
	Height-for-age z-score	-0.6 (-1.9-0.2)	-0.3 (-1.6-(-0.1))	-1.3 (-1.5-(-0.8))	-1.0 (-1.6-0.2)	0.3 (-2.-3.2)	0.3 (-1.0-1.6)	p= 0.525	p= 0.916	p= 0.237	p= 0.260

<sup>a</sup>CI= Confidence Interval; <sup>b</sup>CFCS = Cystic Fibrosis Clinical Score; <sup>c</sup>EQ-5D-Y= EuroQol 5 Dimensions Youth version; <sup>d</sup>VAS= Visual Analogue Scale; <sup>e</sup>BMI = Body Mass Index

**DURATION AND FREQUENCY OF ACTS USED DURING THE STUDY PERIOD**

Technique	Intervention (n=7)		Control (n= 7)	
	Frequency	Median (IQR <sup>a</sup> ) time per session ( <i>minutes</i> )	Frequency	Median (IQR <sup>a</sup> ) time per session ( <i>minutes</i> )
<b>AAD<sup>b</sup></b>	Daily (n=3) Not daily (n=1)	7.0 (1.0-15.0)	-	-
<b>Flutter</b>	Daily	10.0	Daily (n=1) 3x/w (n=1)	3.0 (2.0-15.0)
<b>Percussions</b>	Daily (n=1) Bidaily (n=1) 3x/d (n=1) Not daily (n=1) When sick (n=1)	10.0 (5.0-16.0)	Daily (n=1) Bidaily (n=3) 3x/w (n=1) 4-5x/w (n=1) When sick (n=1)	15.0 (5.0-15.0)
<b>Vibrations</b>	Not daily	N/A <sup>c</sup>	Bidaily	2.0 (2.0-2.0)
<b>ACBT<sup>d</sup></b>	Not daily	N/A <sup>c</sup>	Daily	1.0
<b>Active play</b>	Daily	105.0 (45.0-180.0)	Daily	75.0 (60.0-75.0)
<b>Other: blowing</b>	Bidaily	N/A <sup>c</sup>	-	-

<sup>a</sup>IQR= Interquartile Range; <sup>b</sup>AAD = Assisted Autogenic Drainage; <sup>c</sup>N/A = Not Available; <sup>d</sup>ACBT = Active Cycle of Breathing Technique; <sup>e</sup>PEP= Positive Expiratory Pressure therapy

### SUPPLEMENTARY FILE 3: FIGURES

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#### FIGURE LEGEND

Figure 1. Procedural flow diagram

Figure 2. Study flow diagram

Figure 3. Number of participants using various ACTs during the study period

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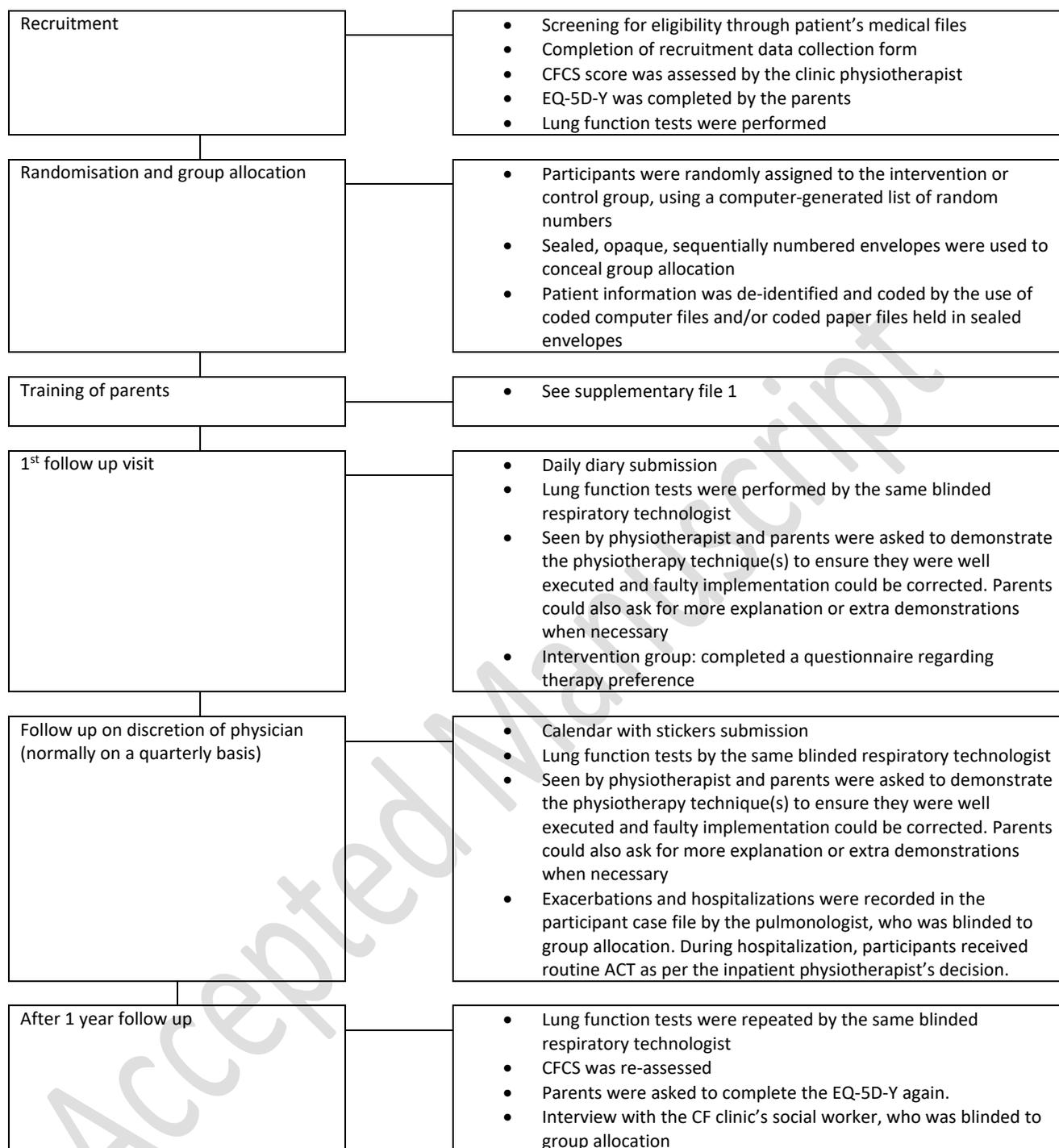


Figure 1. Procedural flow chart

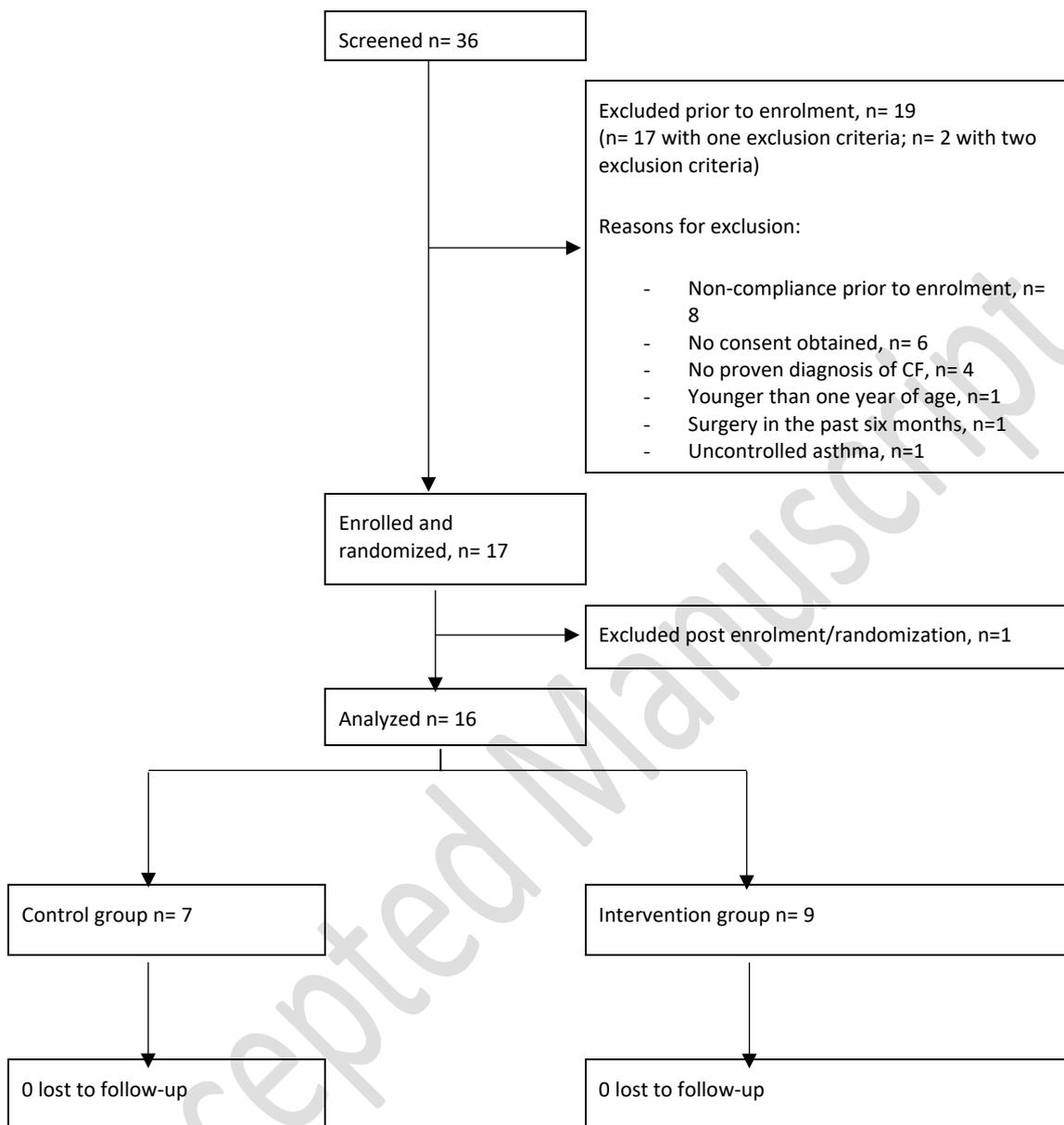


Figure 2. Study flow diagram

Corten

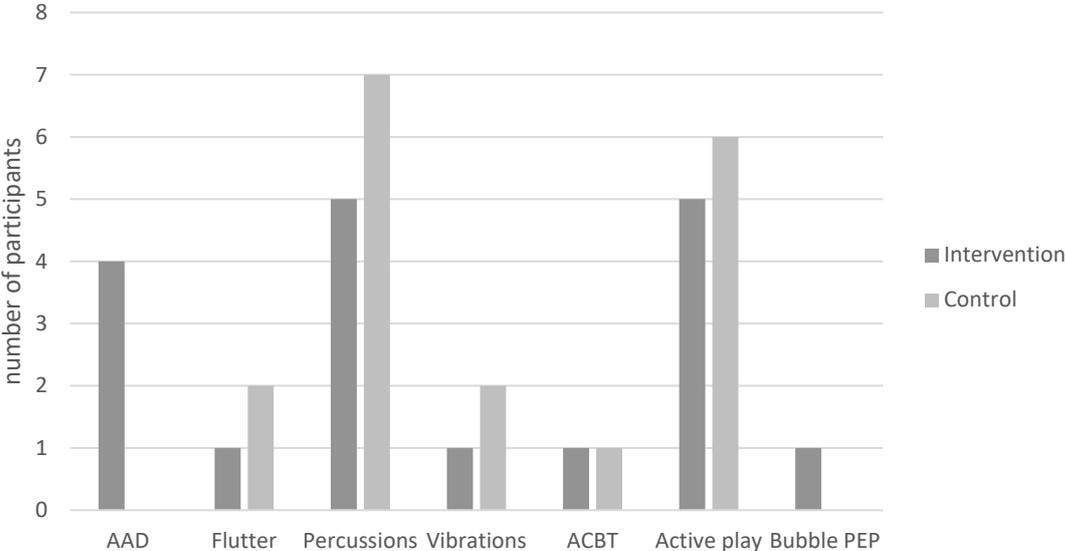


Figure 3. Number of participants using various ACTs during the study period

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