

1 **Environmental risk of trace metals and metalloids in estuarine sediments: an example**
2 **from Southampton Water, U.K.**

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19 **Highlights**

- 20 • Trace metals and metalloids were examined in sediments from Southampton Water,
21 U.K.
- 22 • Geochemical data highlight enrichments in Cu, Zn and Pb in subtidal sediments

- 23 • Metal distribution is controlled by sediment composition and anthropogenic activity
- 24 • Pollution indices indicate the estuary is moderately impacted by industrial activity
- 25 • Further work is needed to assess the current impact of trace metals on local ecology

26 **Abstract**

27 Industrial and commercial port activities are widely recognized worldwide as an important
28 source of pollution to proximal estuaries. In this study we analysed geochemical parameters
29 including major and trace elements, organic matter and sediment texture. Using multivariate
30 statistical tools [correlation, factor and cluster analysis and pollution indices such as Enrichment
31 Factor (EF), Pollution Load Index (PLI) and the Adverse Effect Index (AEI)], we evaluated trace
32 metal and metalloid contamination in surface sediments (and their potential biological impact)
33 from the estuarine environment of Southampton Water, U.K. Geochemical data, multivariate
34 statistical analysis and pollution indices indicate that the spatial distribution of trace metals and
35 metalloids is influenced by both sediment composition (and mixing) and anthropogenic
36 activities. Most trace metal and metalloid concentrations are close to local geological
37 background levels, except for Cu, Zn and Pb. The spatial distribution of these elements indicates
38 that the Exxon oil refinery, Southampton port, local marinas and runoff from domestic and
39 industrial activities act effectively as point sources of these elements. Pollution indices
40 calculations highlight a degraded environment as a result of these pollutants, and further work
41 is needed to assess the current impact of trace metals and metalloids on local ecology.

42 **Capsule abstract:** Sub-tidal sediments show enrichment of some trace element concentrations
43 interpreted as the influence of an oil refinery and port activities within Southampton Water.

44 **Keywords:** Trace elements, sediment, port activities, oil industry, estuaries, Southampton Water

45 **Introduction**

46 Estuaries are important ecosystems that are defined as semi-enclosed coastal bodies of water
47 with a free connection with the open sea, within which sea water is measurably diluted by fresh
48 water derived from land drainage (Pritchard, 1969). They are ecologically, societally and
49 economically important environments as they supply a range of ecosystem services, act as an
50 important food source (including providing nursery and breeding habitats to many species of
51 birds and fish (NOAA, 2018)) and are frequently major centres for industrial, urban and
52 recreational developments. The main characteristic of estuarine environments is that they are
53 influenced by varying river flows, tidal motions, precipitation and evapotranspiration; all of
54 which generate strong gradients in physico-chemical parameters such as salinity, pH, dissolved
55 oxygen (DO) and nutrients that can significantly modify pollutant behaviour in the environment.

56 Estuaries, like many other ecosystems, are strongly affected by anthropogenic activities that
57 export trace metals and metalloids. Anthropogenic sources of these pollutants include landfill
58 leachate, agricultural and urban runoff, and industrial and domestic effluents (Chakravarty and
59 Patgiri 2009; Abdelhady et al., 2019). Trace metals and metalloids pose a serious threat to
60 human health and to ecosystems due to their persistence, potential for bio-accumulation, and
61 toxicity (Naser, 2013; Yu et al., 2014; Bing et al., 2016; Singh and Kumar 2017). From a food
62 resource and ecosystem perspective, Kerambrun et al., (2013), for example, based on Fulton's
63 K condition index, propose that fish from European estuaries with a low anthropogenic impact
64 are healthier than fish from estuaries with a strong anthropogenic influence and which are
65 chronically polluted by trace metals. Park et al., (2019) have shown that the total bio-
66 concentration of trace metals in crabs (*Macrophthalmus japonicus*) affected the expression of
67 chitinase genes and resulted in changes in exoskeleton surface roughness. High concentrations
68 of trace metals in water affected the reproduction process of the fish *Prochilodus argenteus* in
69 the Paraopeva River, Brazil (Paschoalini et al., 2019); while the green mussel (*Perna viridis*) from
70 Jakarta Bay was declared unsafe to eat because of shell malformations caused by trace metals
71 (Riani et al., 2018).

72

73 Southampton Water has been relatively well studied historically to assess the effects of trace
74 metals on organisms (Romeril et al. 1979; Matharu 1975; Armannsson et al 1985; Savary et al.
75 1989; Sharifi et al. 1991), and more recently to examine trace metal sources and distribution
76 (Croudace and Cundy, 1995; Cundy et al., 1997 and Cundy and Croudace, 2017). The second
77 group of papers noted (based on cored sediment profiles) significant historical inputs of
78 hydrocarbons and copper from the Exxon oil refinery at Fawley, on the western shore of
79 Southampton Water, with Pb and Zn inputs derived from mixed urban and industrial sources (in
80 particular, $^{206}\text{Pb}/^{207}\text{Pb}$ ratios indicated a complex, mixed marine/ atmospheric input of Pb from
81 regional (automobile emissions) and local (urban/industrial) sources). Despite this historical
82 work, there is a lack of published literature on sedimentary concentrations of trace elements
83 and metalloids, and their spatial variability, with which to assess the current potential impact of
84 trace metals and metalloids on local ecosystems. This is important locally due to recent urban
85 expansion and industrial changes in the Southampton area (including commissioning of a major
86 waste incinerator on the western shore, changes in port volumes and traffic, and expansion in
87 marina activity), and more widely as Southampton Water can be considered as a reference for
88 other estuaries along developed coasts worldwide with similar anthropogenic influences.

89

90 This article aims to determine the factors that control the current spatial distribution of trace
91 metal and metalloid contaminants in Southampton Water, UK, which hosts the largest oil
92 refinery and the second largest port in the U.K. We use geochemical analysis of surface (subtidal)
93 sediment, multivariate statistical analysis and various pollution indices to assess contaminant
94 sources, distribution and potential biological impact in this large, urbanised and heavily-
95 industrialised estuarine system.

96

97 **Study area**

98 The study area is located in the coastal plain estuary of Southampton Water, southern England
99 (Figure 1). The estuary covers an area of approximately 20 km² and receives discharges from the
100 Test, Itchen, Hamble, and Meon Rivers (Dyer, 1997). Around the estuary there are various
101 biologically-important coastal ecosystems such as saline lagoons, salt marshes and mudflats that
102 support a range of breeding and migratory birds, crabs and other organisms (JNCC, 2017). To
103 give legal protection to these ecosystems that are located inside and around the estuary the UK
104 government has declared the Lee-on-the Solent to Itchen estuary and the upper Hamble estuary
105 as Sites of Special Scientific Interest (SSSI), while areas such as the Solent and Southampton and
106 the Solent maritime are classed as special areas of conservation (SCA) (GOV.UK, 2019).

107 The main urban area in the estuary catchment is the city of Southampton with a population of
108 254,300 habitants and a total area of about 51.8 km² (GOV.UK, 2019). The main industries within
109 the estuary are port activities and a major petrochemical refinery and ancillary industries.
110 Southampton Port is the second largest container terminal in the UK and handles around 14
111 million tonnes of cargo each year (ABP, 2017), while the port hosts 2 million cruise ship
112 passengers annually across four cruise terminals. In addition to the deep water port, there are
113 11 smaller marinas and boatyards within Southampton Water, including ones located on the
114 Rivers Itchen, Hamble and Test. In order to maintain shipping access and also for capital building
115 projects, dredging activities have been regularly undertaken across the whole area at least for
116 the last 200 years (ABP, 2014). The Exxon oil refinery, based on the western shore around
117 Fawley, is the largest in the United Kingdom and one of the most complex in Europe. It has a
118 mile-long marine terminal that handles around 2000 ships and 22 million tonnes of crude oil and
119 other products every year. The refinery processes around 270 000 barrels of crude oil a day and
120 provides 20 percent of the UK's refinery capacity (Exxon Mobil, 2017).

121

122 **Material and Methods**

123 Twenty-three sediment samples were collected from Southampton Water in June 2017.
124 Samples were collected using a McIntyre dredge deployed from the University of Southampton's
125 research vessel *RV Callista*, and were kept in plastic bags and stored at 4°C (in darkness) until
126 analysis. Samples were only retained if they visually showed an intact sediment surface, and
127 therefore limited loss of fines during sampling. In order to obtain the most recently deposited
128 sediment, we collected samples in the first centimetre. Each sediment sample was divided in
129 two halves. One half was used for geochemical analysis and loss on ignition (LOI), the other was
130 analysed for granulometry.

131

132 Sediment samples were dried at 55°C for 48 hrs and homogenized in an agate mortar by hand.
133 All concentrations in this work were expressed with reference to dry weight. Major and trace
134 elements concentrations were determined using a well-established **Wavelength Dispersive X-**
135 **Ray Fluorescence** (WDXRF) method (Croudace and Williams-Thorpe, 1988; Croudace and
136 Gilligan 1990; Croudace et al., 2012; Croudace et al., 2015). A Philips Magix-Pro sequential X-ray
137 fluorescence spectrometer system, calibrated using international geochemical reference
138 samples, was used to analyse pressed powder briquettes. Precision and accuracy were
139 evaluated using the certified reference material MAG-1 and the values for the major elements
140 were from 106.9 to 98.3% except for Na₂O (76.9%) and P₂O₅ (83.5%); while trace elements were
141 from 106.2 to 92.5% except for Cr (110.5%) and Cu (77.8%).

142 Particle size distribution within the sediment was determined using a Malvern Mastersizer 2000
143 laser particle size analyser. One gram of homogenized sediment was mixed with 10 mL of
144 dispersant solution of sodium hexametaphosphate. The mixture was stirred for 5 min in order
145 to deflocculate clay particles, after that time a small portion was taken for analysis. Organic
146 matter was estimated using the loss on ignition method; 2 g of dried sediment samples were
147 weighed before and after incineration in a muffle furnace at 600°C for 24 hrs, in order to

148 eliminate organic matter (Ahmed et al., 2018). Total Organic Matter values are reported as a
149 percentage of dry weight.

150

151 Multivariate statistical tools, specifically correlation analysis, cluster analysis and factor analysis,
152 were used to interpret the geochemical data. To infer the trace metal and metalloid origin and
153 evaluate any possible adverse effects on benthic biota we employed the geochemical indices of
154 Enrichment Factor (EF), Pollution Load Index (PLI), Adverse Effect Index (AEI) and Sediment
155 Quality Guidelines (SQG). All geochemical indices were calculated using the following equations:

156 The Enrichment Factor is calculated using the following eq. (1)

157
$$EF = \frac{\left(\frac{X_{\text{sample}}}{Y_{\text{sample}}}\right)}{\left(\frac{X_{\text{background}}}{Y_{\text{background}}}\right)} \dots \dots \dots (1)$$

158 Where X_{sample} and $X_{\text{background}}$ are the concentrations of any trace metal and metalloid analysed in
159 the sample and Y_{sample} and $Y_{\text{background}}$ are the concentrations of a conservative element that is
160 used to normalize the data. In this work we used Al_2O_3 as a conservative element because it is
161 not affected by local anthropogenic sources or geochemical changes such as redox processes
162 and represents the clay fraction in these sediments (Cundy and Croudace, 1995; Celis et al.,
163 2013). Also, we used unpublished data from the bottom of a sediment core taken in the
164 relatively pristine adjacent Beaulieu estuary as background (Table S2); as it represents the
165 general lithology of the area and limits any overestimation of background concentrations. This
166 background level was calculated as the average of the last three centimetres of this core. To
167 simplify interpretation, the Enrichment Factor has been divided into ranges that allow levels of
168 trace metal and metalloid enrichments to be set and compared (Birth et al., 2003). A no
169 enrichment level is considered between values from 0 to 1, a minor enrichment from 1 to 3, a
170 moderate enrichment from 3 to 5, while a moderately severe enrichment is from 5 to 10, and
171 finally a severe enrichment is considered when values from 10 to 25 are found.

172 The Pollution Load Index is calculated from the following equations (Tomlinson et al., 1980).

173
$$CF = \frac{C_{mS}}{C_{mB}} \dots \dots \dots (2)$$

174
$$PLI_{\text{sample}} = \sqrt[n]{CF_{\text{metal 1}} * CF_{\text{metal 2}} * \dots * CF_{\text{metal n}}} \dots \dots \dots (3)$$

175 Where “CF” is the contamination factor, “C_{mS}” is the trace metal and metalloid concentration in
176 the sample, “C_{mB}” is the trace metal and metalloid concentration in background samples and “n”
177 represents the number of samples. Background was derived using the same method as for the
178 calculation of enrichment factor. According to Tomlinson et al., 1980, PLI values of zero suggest
179 the absence of pollutants, while PLI values of one or greater denotes the presence of pollutants
180 or progressive deterioration of sediment quality.

181 The Adverse Effect Index is calculated using equation (4)

182
$$AEI = \frac{[MC]}{[SQG_s]} \dots \dots \dots (4)$$

183 The adverse effect index (AEI) is applied to evaluate the ratio between the metal concentration
184 in a sediment and the Threshold Effect Level (TEL) values reported and developed by Long et al.,
185 1995, NOAA, 2016; besides inferring whether trace metal and metalloid concentrations in
186 sediment could produce adverse effects on benthic biota (Muñoz et al., 2012; Hamdoun et al.,
187 2015; Baptista et al., 2017). An AEI lower than 1 means that the trace metal and metalloid
188 concentration in the samples is not high enough to produce adverse effects in biota; but if AEI ≥
189 1 the metal concentration in the sample could produce adverse effects (Muñoz et al., 2012).
190 Although, TEL information has not been generated for all trace metals and metalloids the AEI
191 remains an important proxy tool with which to assess potential adverse effects of sediment
192 contamination on organisms when there are no corresponding local toxicity studies.

193 **Results**

194 **Textural analysis**

195 The sand content in the samples varied from 5.6 to 88.4 %; while clay and silt varied from 0.3 to
196 5.5% and 11.6 to 94.4% respectively (Table 1). Textural analysis using Shepard (1954)'s
197 classification showed that the predominant sediment texture in the estuary was silt and sandy-
198 silt (Figure 2), except for station 21 where the main texture was sand.

199 **Geochemical composition**

200 The median organic matter (OM) content in the study area was 5.80% and varied from 0.9 to
201 16.5%. Concentrations higher than the median value were found at stations 1, 2, 3, 4, 9, 12, 22
202 and 23 and these were located in the same places where there were higher amounts of fine
203 sediment. Major element concentrations varied within the following ranges: 35.1 - 73.8% for
204 SiO₂, 2.34 - 19.6% for CaO, 2.62 - 14.4% for Al₂O₃ and 0.07 - 6.21% for Fe₂O₃ (Table 1). Trace
205 element ranges and their medians are presented in Table 1. Station 3, located close to scrap
206 metal handling and storage facilities exhibited the highest values of Pb (162 mgkg⁻¹) and Zn (657
207 mgkg⁻¹) (Figure 1). Station 22, situated close to the main port of Southampton recorded the
208 highest values of V (152 mgkg⁻¹) and Rb (118 mgkg⁻¹) (Figure 1); while stations 2, 8, 11, 13 and
209 14 that are distributed in the middle of the estuary and close to the Exxon oil refinery showed
210 the highest concentrations of Ba (349 mgkg⁻¹), Sr (537 mgkg⁻¹), As (18 mgkg⁻¹), Cu (205 mgkg⁻¹)
211 and Ni (38 mgkg⁻¹) respectively (Figure 1). Pb, Zn and Cu had the highest coefficient of variation
212 (84, 122.8 and 128.8 % respectively) with the greatest concentrations recorded at stations 1, 2,
213 3 and 13 (Figure 1).

214 **Pollution indices**

215 Enrichment Factor

216 The enrichment factor values of all the trace metals and metalloids exhibited variations in the
217 degree of enrichment (Figure 3). V and Rb showed levels from no enrichment to minor
218 enrichment; Ni and Ba from minor enrichment to moderate enrichment; Cu and Zn from no
219 enrichment to severe enrichment; Cr and Zr from minor enrichment to moderately severe

220 enrichment; Pb from no enrichment to moderately severe enrichment and As from no
221 enrichment to moderate enrichment; while Sr showed results from minor enrichment to severe
222 enrichment. Cu showed EFs greater than 5 at stations 1 (6.6), 2 (7.9), 3 (14.9), 13 (19.2) and 21
223 (5.5); Pb at station 3 (9) and Zn also at station 3 (15.8).

224 Pollution Load Index

225 **The median PLI calculated for the area was 1.1. and varied from 0.5 to 1.6.** The stations 1, 2, 3,
226 4, 6, 7, 9, 11, 13, 14, 15, 19, 22 and 23 exhibited values greater than 1 (**Figure 4**) and were located
227 **in places where high trace element concentrations were found.**

228 Adverse Effect Index

229 The average AEI values calculated for the estuary show that V, Ni, Cu, Pb, As, Cr and Ba could be
230 associated with adverse effects on organisms, because they exhibited average values higher
231 than 1 (**Figure 5**). Zn was the only element that showed values lower than 1 in all the stations
232 except 1, 2, 3 and 13 where the AEI values were 1.3, 1.5, 5.3 and 1.1 respectively.

233 **Factor and cluster analysis of sediment samples**

234 A factor analysis was performed to identify the causes of variation in the geochemical and
235 textural datasets from the estuary. Three factors were identified that explained 72% of the
236 system variance. All factors were associated with sediment composition, anthropogenic
237 activities and carbonates from biogenic origin (**Table 2**). The first factor explained 44% of the
238 total variance and was related to sediment composition (texture and mineralogy) due to its
239 strong controls on major and trace element compositions. The second factor explained 18% of
240 the total variance and was related to anthropogenic activities; this factor grouped Cu, Pb and
241 Zn, contaminant elements supplied to the Southampton Water estuarine system by the Exxon
242 oil refinery and other urban and industrial sources (**Croudace and Cundy, 1995; Cundy and**

243 **Croudace, 2017**), with OM and P₂O₅. Finally, the third factor explained 10% of the total variance
244 and was linked to the biogenic fraction due to its relationship with CaO and Sr.

245 In order to identify associations between the 23 sediment samples, a cluster analysis was also
246 performed. The cluster diagram showed two main groups (A and B) (**Figure 6**). Group A (stations
247 3, 13, 2 and 1) was characterized by a higher content of V, Ni, Cu, Zn, Cr, Ba, Al₂O₃, Fe₂O₃, CaO,
248 O.M, clay and silt, also this group exhibited higher EF of Cu, Pb, Zn and higher PLI values. Group
249 B (the rest of the stations) was constituted by higher concentrations of As, Rb, Sr, Zr, SiO₂ and
250 sand.

251 **Discussion**

252 **Major and trace element sources**

253 The geochemical composition and the ternary diagram (**Figure S1**) showed that the estuarine
254 sediments are rich in SiO₂ (silicates) rather than CaO (carbonates) and Al₂O₃ (aluminosilicates)
255 and highlights a relatively homogenous bulk chemical composition. The negative correlations
256 between CaO with Al₂O₃ (-0.74, p < 0.05), K₂O (-0.70, p < 0.05), SiO₂ (-0.82, p < 0.05), TiO₂ (-0.62,
257 p < 0.05) **indicated that carbonates are supplied to the system by shell fragments of organisms**
258 **that live in the sediment such as oysters and bivalves rather than lithogenic sources.** OM
259 exhibited a low positive correlation with silt (0.45, p < 0.05) and a low negative correlation with
260 sand (-0.45, p < 0.05); this suggests that organic matter distribution is at least partly controlled
261 by fine sediment distribution. **The positive correlation between Al₂O₃ and K₂O (0.99, p < 0.05),**
262 **MgO (0.93, p < 0.05), highlighted the presence of clay minerals such as plagioclases and**
263 **feldspars.**

264 The trace elements correlations between major elements noted positive correlations of V (0.98,
265 -0.63, p < 0.05), Ni (0.69, -0.71, p < 0.05), As (0.83, -0.51, p < 0.05), Cr (0.84, -0.48, p < 0.05), Ba
266 (0.71, -0.77, p < 0.05) and Rb (0.98, -0.71, p < 0.05) with Al₂O₃ **suggesting that these elements**
267 **are supplied by lithogenic sources through the erosion processes of the catchments of the rivers**

268 **Test, Itchen, Hamble and Meon.** The negative correlations with CaO indicate that carbonates
269 have diluted their concentrations in the sediment and modified their spatial distribution. Sr
270 exhibited a positive correlation with CaO (0.88, $p < 0.05$), **this suggests a marine origin due to**
271 **this element is a natural component of seawater and it is well known that Sr can substitute Ca**
272 **from biogenic carbonates.** On other hand, the lack of (or lower) positive correlations of Cu, Pb
273 and Zn with almost all the studied geochemical parameters suggests anthropogenic sources
274 supplied these elements (Table S1).

275 **Anthropogenic footprint of Cu, Pb and Zn.**

276 Historically, the anthropogenic footprint in the estuary has been identified through saltmarsh
277 and other sediment cores taken from Southampton, Fawley, Hythe and Humble areas. Croudace
278 and Cundy (1995) reported clear Cu, Pb and Zn profiles in the Fawley area caused by
279 anthropogenic inputs. They noted that anthropogenic Cu was introduced to the estuarine
280 system from the Exxon oil refinery at Fawley, Southampton Water, peaking around 1970 and
281 had exhibited significantly reduced levels since 1971. This profile showed a rapid increase from
282 background levels of 15 to 1022 mg kg⁻¹, which was the maximum value registered in the area.
283 After this peak they observed a Cu concentration decrease to 286 mg kg⁻¹. This element was
284 attributed to the Exxon oil refinery as Copper is used in several processes within the refinery;
285 for example, it is used as catalyst to convert mercaptans to disulphides and cuprous ammonium
286 acetate has been used to remove acetylene gas (Knap, 1979). The lead profile showed an
287 increase in concentration from 24 mg kg⁻¹ to a maximum value of 109 mg kg⁻¹, followed by a
288 slight decrease toward the surface (67 mg kg⁻¹). However, to infer the origin Croudace and Cundy
289 (1995) used stable isotope ratios of lead to reveal anthropogenic sources of this element. Their
290 data exposed different Pb sources such as automobile emissions, industrial inputs and fly ash
291 from coal burning. The Zn profile showed a similar distribution to lead, an increase from
292 background levels of 75 to a maximum concentration of 169 mg kg⁻¹, after this there was a small
293 decline in concentration to 161 mg kg⁻¹. Although, they reported an uncertain source of this

294 element, they could deduce the Zn anthropogenic signal when they compared with sediments
295 from the unpolluted Newtown estuary. They noted similar levels to background concentrations
296 found in sediments adjacent to Fawley.

297 In the present study, the correlation and factor analysis identified that Cu, Pb and Zn reflect the
298 anthropogenic signal of the area. Also, they indicate that V, Cr, Ni, As, Ba and Rb are from
299 lithogenic sources rather than urban and industrial activities and revealed that Sr is likely to be
300 of a marine origin.

301 The enrichment value distribution of these elements and the cluster analysis results highlight
302 three main sources of the contaminants: the Exxon Oil refinery (sub-area A), the main
303 Southampton harbour (sub-area B) and the scrap metal handling and storage facilities located
304 in the sub-area (C) (Figure 1). Cu was found at stations 11 and 13 (sub-area A) with enrichments
305 values of 2 and 19.2 respectively, in stations 4, 5, 6, 22 and 23 (sub-area B) enrichments of 2.1,
306 2, 3.4, 2.3 and 2.8, and in stations 1, 2 and 3 (sub-area C) enrichments of 6.6, 7.9 and 14.9. In
307 the case of Pb, we found that the Exxon oil refinery and the scrap metal handling and storage
308 facilities are the principal sources of this element. While for Zn, the main sources are the scrap
309 metal handling and storage facilities. Finally, with all these evidences, it is clear that the spatial
310 distributions observed for Pb, Cu and Zn concentrations provide evidence for continued point
311 source inputs and resulting contamination “hot-spots”.

312 **Risk of adverse effects in organisms.**

313 Data from Southampton Water and the Hamble estuary concerning the impact of trace metals
314 and metalloids on local ecological diversity and functioning are largely historic, but this older
315 literature does highlight a range of adverse biological effects in Southampton Water over the
316 late 20th Century. For example, Romeril (1979) studied the occurrence of Cu, Fe and Zn in the
317 hard-shell clam *Mercenaria mercenaria* and sediments of Southampton Water, and found that
318 all metal concentrations in clam tissue were lower at the seaward end of the estuary and higher
319 in the north part of the estuary. This tendency was attributed to the operation of the

320 Marchwood Power station in the north of the estuary. Savari (1989) found that the mollusc
321 *Cerastoderma edule* in Southampton Water was affected by Cd, Cu, Fe, Pb, Ni and Zn
322 concentrations in areas in the proximity of pollution sources such as Fawley (i.e. the Exxon oil
323 refinery), Tucker Pile, Bird Pile and Marchwood, reporting that this mollusc was ten times more
324 prevalent in areas distant from pollution input such as Dibden Bay, Netley and Woolston than
325 those located near to Fawley (Exxon oil refinery). Sharifi et al., (1991) noted a change in
326 foraminiferid distribution and an increase in test deformities in relation to heavy metal
327 discharges (such as those from the Exxon oil refinery), and works such as those of Matharu
328 (1975), Armannsson et al., (1985) and Fang (1995) also noted that locations in Southampton
329 Water where mysid populations were formerly abundant were now barren due to pollution by
330 Cd, Cu, Zn, Ni and Fe. This information shows that estuarine organisms have clearly been
331 historically affected by anthropogenic sources such as the Exxon oil Refinery, the Marchwood
332 Power Station and urban discharges.

333 Using the PLI index values, we can infer that the sediment quality of the estuary is reduced by
334 the presence of pollutants; because we registered PLI values higher than 1 in the 60.8 % of the
335 samples analysed in this work (Figure 4), which means these places had a slight deterioration of
336 sediment quality due to trace metal and metalloid concentrations. Also, using the AEI index, we
337 could deduce that Cu, Pb and Zn results cause adverse effects to benthic organisms because it
338 has been their anthropogenic origin is noted. Other elements such as V, Ni, As, Cr and Ba would
339 need a sequential extraction analysis to infer if a small fraction is bioavailable to benthic
340 organisms. These data highlight that further work is needed to assess the contemporary impact
341 of trace metals and metalloids on local ecological diversity and functioning, to fully assess
342 current ecological status in the Southampton estuary.

343 **Dredge activity evidence and its environmental risk.**

344 As an important economic area, some technical reports for the estuary have stated that the
345 volume of material dredged between 2005 and 2013 was 467, 664 m³ (ABP, 2014). Croudace
346 and Cundy 1995 using dating of sediment cores noted a reduction in the sedimentation rate
347 from 20 mm yr⁻¹ to 5 mm yr⁻¹ between 1981 and 1989. This reduction was attributed to dredge
348 activities in the Fawley area prior to the expansion from the oil refinery. Our data identified
349 these dredge activities due to the different sediment textures in the study area. We registered
350 a sandy-silt texture sediment in the main navigation channel that corresponds to stations 5, 8,
351 11, 14, 15, 16, 18, 19, 20 and 23 (Figure 1); while stations 1, 2, 3, 4, 6, 7, 9, 10, 12, 13, 17, 19 and
352 22 that do not fall within the navigation channel consisted of predominantly fine sediment (silt)
353 (Figure 1).

354 In general, the information collected here shows that the estuary and its subtidal sediments are
355 heavily disturbed by dredging activities and if contaminated sediments are not properly handled,
356 they could be a serious threat to locations where these sediments are deposited. A recent work
357 conducted by Cundy and Croudace (2017) indicated that for Pb and Cu (and by inference other
358 sediment-associated trace metal contaminants) continued input through local reworking of
359 extant (i.e. within-estuary) trace metal-labelled sediments has meant that trace metal
360 concentrations in some recent intertidal near-surface sediments remain elevated significantly
361 above background. For subtidal sediments, this reworking of older more contaminated
362 sediments is also likely to exacerbate sediment contamination problems in some areas.

363 **Comparison of pollution levels in Southampton with other estuaries worldwide**

364 Positioning our data in an international context. We identified that Southampton is affected by
365 Cu, Pb and Zn as in other estuaries worldwide (Munksgaard et al., 2013; Liu et al., 2015; Campos
366 et al., 2019). We found median enrichment factors of 3.4 (Cu), 1.6 (Pb) and 1.7 (Zn). Birch et al.
367 2020 identified that estuaries from Australia, Ireland, China and Brazil exhibited average
368 enrichment factors from 0.9 to 5.1 by Cu, from 1.4 to 5.4 by Pb and from 1 to 4.6 by Zn (Chen et
369 al., 2013; Baptista et al., 2016). Comparing this information, we identify that our data are

370 between these ranges. Although if we rank these values, we observe that Southampton is the
371 second least polluted estuary for these three elements (Table 3). This evidence highlights that
372 although Southampton has an anthropogenic impact from trace elements and metalloids there
373 are other places where the anthropogenic influence is considerably worse such as estuaries from
374 China, Ireland and Brazil (Cordeiro, et al., 2015; Brooks et al, 2016; Chan et al., 2016).

375 **Conclusion**

376 Geochemical data presented for subtidal sediments from Southampton Water show that almost
377 all trace metal and metalloid concentrations recorded are close to local geological background
378 levels; except for Cu, Zn and Pb. The spatial distribution of these elements indicates that the
379 Exxon oil refinery, Southampton port, local marinas and runoff from domestic and industrial
380 activities act effectively as point sources of these elements. The distribution of trace metals and
381 metalloids in the estuary is driven by natural and anthropogenic factors such as the sediment
382 composition, biogenic sediments, sediment reworking, and anthropogenic activities (including
383 dredging). Based on calculated pollution indices, the estuary can be considered moderately
384 impacted by the urban and industrial activities that take place within it. Some of the trace metals
385 present have caused adverse effects in benthic or other organisms in the past, and despite
386 reductions in trace metal inputs the data presented here indicate current sedimentary
387 enrichment of trace metal and metalloids to levels where they are likely to cause adverse effects
388 to benthic organisms. Further work is needed to assess the contemporary impact of trace metals
389 and metalloids on the local ecology, to fully assess current ecological status in the Southampton
390 Water area.

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