

1 **METALDEHYDE PREDICTION BY INTEGRATING EXISTING WATER**
2 **INDUSTRY DATASETS WITH THE SOIL AND WATER ASSESSMENT TOOL**

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18
19 **Abstract**

20 Metaldehyde (a synthetic aldehyde pesticide used globally in agriculture) has been
21 internationally identified as an emerging contaminant of concern. This study aimed to
22 integrate existing water industry, publicly available and purchased licensed datasets with the
23 open-access Soil and Water Assessment Tool (SWAT), to establish if these datasets could be
24 used to effectively model metaldehyde in river catchments. To achieve the study aim, a
25 SWAT model was developed and calibrated for the River Medway catchment (UK). The

26 results of calibration (1994-2004) and validation (2005-2016) of average daily streamflow
27 (m^3/s) showed that the SWAT model could simulate water balance well (P-factor 0.73-0.81
28 and R-factor 0.64- 0.82, NSE 0.42-0.59). Calibration (P-factor 0.72 and R-factor 1.35, NSE
29 0.31) and validation (P-factor 0.49 and R-factor 1.37, NSE 0.16) for daily soluble
30 metaldehyde (mg active ingredient) load was also satisfactory. The most sensitive pesticide
31 parameters for metaldehyde simulation included the timing and amount of pesticide (kg/ha)
32 applied to the hydrological response units, the pesticide percolation coefficient and pesticide
33 application efficiency. Outputs from this research demonstrate the potential application of
34 SWAT in large complex catchments where routine monitoring is in place, but isn't designed
35 explicitly for the purpose of predictive modelling. The implications of this, are significant,
36 because they suggest that SWAT could be applied universally to catchments using existing
37 water industry datasets. This would allow more efficient use of historical datasets and would
38 be applicable in situations where resources are not available for additional targeted
39 monitoring programmes.

40

41 **Keywords**

42 SWAT, metaldehyde, pesticide, management, Water Framework Directive

43

44 **1. Introduction**

45 Metaldehyde is a synthetic aldehyde pesticide used globally in agriculture, commonly in slug
46 pellet form. The World Health Organisation (WHO) classifies metaldehyde as a 'moderately
47 hazardous' pesticide (class II) (WHO, 2010) and the United States Environmental Protection
48 Agency has designated it a 'restricted use pesticide' (US.EPA, 2006). The European Union
49 Drinking Water Directive (Council Directive 98/83/EC) has set regulatory standards for
50 individual and total pesticides at $0.1 \mu\text{g}/\text{l}$ and $0.5 \mu\text{g}/\text{l}$ respectively (European Commission,

51 1998). Metaldehyde is an emerging contaminant of concern because it is a highly stable
52 chemical compound in water, can be very mobile in the environment, and is ineffectively
53 removed by current drinking water treatment processes (Kay and Grayson, 2014).
54 Specifically, metaldehyde is not effectively removed by normal pesticide treatments, such as
55 adsorption onto activated carbon and cannot be broken down by using chlorine or ozone
56 (Water UK, 2013). As a result, the consensus is that the best option for reducing transport of
57 this pesticide to surface waters is to control the sources through catchment management
58 approaches. Transport of metaldehyde to surface waters by surface water runoff is more
59 significant than via soil erosion, because metaldehyde has a low K_{OC} value (low sorption
60 coefficient of active ingredient to organic carbon), resulting in less potential for metaldehyde
61 to adsorb to suspended sediments (Asfaw et al., 2018). Consequently, an accurate
62 understanding of surface water runoff generated from agricultural land is important when
63 determining catchment management approaches. Water companies in the UK have legal
64 programmes of work (undertakings) approved by the Drinking Water Inspectorate (DWI),
65 which aim to prevent breaches in pesticide standards (EU pesticide standard of 0.1 $\mu\text{g}/\text{l}$).
66 Programmes of work are largely aimed towards catchment management measures that reduce
67 metaldehyde load at source. Common catchment management initiatives have included
68 catchment sensitive farming schemes (including training and advice for farmers), managed
69 abstraction (avoiding abstraction of water containing metaldehyde concentrations above 0.1
70 $\mu\text{g}/\text{L}$), promotion of alternative products (especially in high-risk areas) and funding of
71 research into potential treatment options (Water UK, 2013). The Metaldehyde Stewardship
72 Group (an industry led voluntary group with the aim of promoting and encouraging best
73 practice with metaldehyde slug pellets) published guidelines, promoting a maximum
74 individual dose application of 210g of metaldehyde/ha, with a reduced rate of 160g for
75 additional water protection (Metaldehyde Stewardship Group, 2018). The guidelines also

76 included that no pellets should be allowed to fall within a minimum of 10 metres of any field
77 boundary or watercourse and that farmers should not apply when heavy rainfall is forecast. A
78 number of water companies, have led collaborative catchment initiatives with
79 nongovernmental organisations and rivers trusts to reduce metaldehyde loads in source
80 waters. The project ‘Slug It Out’ funded by Anglian Water (UK) trialled the substitution of
81 metaldehyde with ferric phosphate (facilitated through incentive payments) and demonstrated
82 success in improving source water quality and increasing metaldehyde compliance
83 (Mohamad et al., 2019). However, modelling of the catchments, within the ‘Slug It Out’
84 project indicated that over 80% of the natural catchments would require product substitution
85 to achieve complete regulatory compliance (Nineham et al., 2015). Castle et al. (2017)
86 provide a review of catchment management strategies for metaldehyde and note that recent
87 decreases in the number of metaldehyde standard exceedances (0.1 µg/l) in the UK, in part,
88 can be attributed to the increase in take up of ferric phosphate by the agricultural sector.
89

90 Since the adoption of the Water Framework Directive (WFD) in 2000, European river basin
91 management has gone through a process of transformation. Hydrological models are
92 increasingly used to predict river flow and water contaminant transport to meet the
93 Directive’s need for holistic River Basin Management Plans (RBMP). The WFD seeks to
94 prevent the deterioration of ground and surface water bodies and achieve good ecological and
95 chemical status in water courses (EC, 2000). Therefore, chemical contaminants, including
96 pesticides and their supply into water courses need to be managed. Studies from across the
97 EU have found metaldehyde concentrations regularly exceeding EU standards of 0.1 µg/L
98 (Gillman et al., 2012; Lazartigues et al., 2012; Bloodworth et al., 2015). Kay and Grayson
99 (2014) analysed metaldehyde concentration data collected by a regional water company (in
100 the UK) between 2008 and 2011. The aim was to quantify the presence of metaldehyde in a

101 range of surface and drinking waters. They found that metaldehyde levels exceeded the EU
102 pesticide standard of 0.1 µg/L frequently during the autumn-winter slug pellet application
103 season. Peak concentrations were observed to be in the 0.4-0.6 µg/l range, although
104 sometimes they were an order of magnitude higher. A lack of correlation between
105 metaldehyde concentrations and crop type, soil type and slope, suggested that driving factors
106 are complicated and may include a wide set of variables. Lu et al. (2017) simulated
107 metaldehyde dynamics in the River Thames catchment, UK. They proposed that metaldehyde
108 application rates were the key driver of metaldehyde concentrations in rivers. Their research
109 also demonstrated how more complex models can be effective decision support tools when
110 considering the complex management options required to control land to river transfer of
111 metaldehyde. Asfaw et al. (2018), developed a model focused on prediction of arrival times
112 for metaldehyde concentration peaks in surface runoff at abstraction sites. The model was
113 developed to describe short term dynamics and transport (by precipitation driven runoff
114 rather than longer term processes). The results of this study emphasised the variability of
115 metaldehyde peaks and the significance of runoff generation from high risk areas. In addition,
116 it was noted that metaldehyde dynamics were highly sensitive to temporal and spatial
117 distributions of precipitation and land use.

118

119 The Soil and Water and Assessment Tool (SWAT) model is the product of the USDA-
120 Agricultural Research Service, USDA- Natural Resources Conservation Service, and Texas A
121 & M University (Arnold et al., 1998; Arnold and Fohrer, 2005). SWAT is an open-access
122 river basin-scale model that has been used to assess water resource and non-point (diffuse)
123 source pollution issues worldwide, gaining considerable recognition internationally. The
124 model has been the focus of over 3000 peer-reviewed research articles. The purpose of the
125 model is to predict the impact of land management practices on water, sediment, and

126 agricultural chemical yields (e.g., nitrates, phosphates and pesticides) and it can operate at a
127 range of scales, in complex catchments with different soils, land-use and management
128 practices (Arnold et al., 2012; Mehan et al., 2019). SWAT is a semi-distributed and
129 computationally efficient model that is physically-based. The physically-based equations
130 used by the model are provided in the SWAT theoretical documentation (Neitsch et al., 2011)
131 and by Arnold et al. (1998). SWAT is a continuous- time model that operates on a daily time
132 step. There are numerous examples of successful applications of the SWAT model in
133 pesticide fate modelling (Kannan et al., 2006; Luo and Zhang, 2009; Chen et al., 2017;
134 Winchell et al., 2017). Quilbé et al. (2006) reviewed 36 commonly used pesticide models and
135 concluded that for pesticide modelling SWAT was the best model under a wide variety of
136 conditions. As SWAT is a public domain model, it has the potential to be a low-cost tool for
137 aiding metaldehyde management in river catchments. To the authors knowledge,
138 internationally there is only one example of SWAT application to model metaldehyde
139 reported by Anglian Water Services and Mott MacDonald (Nineham et al., 2015). The
140 investigators concluded that SWAT was able to produce robust and technically defensible
141 simulations of metaldehyde concentrations under current and predicted future conditions, but
142 in this instance statistical evaluation criteria for metaldehyde are not available. A recent study
143 that investigated the fate of metaldehyde, using an alternative model (the INCA-
144 contaminants model) expressed a view that, whilst successful at modelling the fate of
145 pesticides, the SWAT model may have data requirements that are too high for use in these
146 scenarios, with many parameters requiring estimation (Lu et al., 2017). Therefore, whilst the
147 SWAT model has potential to be an important and useful tool for the water industry globally,
148 there is still doubt that existing available datasets are currently appropriate for this modelling
149 option. Conversely, SWAT has significant potential to aid catchment management for the
150 reduction of metaldehyde transfer into water bodies and it is important to determine if

151 existing routine monitoring programmes and datasets enable the water industry to effectively
152 apply models such as SWAT. Importantly, this research could also help inform future
153 industry-based monitoring strategies, so that they support modelling of metaldehyde and
154 emerging contaminants, such as the pesticide cypermethrin, which is thought to be a concern
155 in the future.

156

157 Therefore, this research aimed to determine whether existing water industry datasets, along
158 with publicly available and purchased licensed datasets could be used to effectively model
159 and predict instream metaldehyde loads in river catchments. To achieve this aim, the
160 following objectives were designed to; 1) Develop a model and forecast instream
161 metaldehyde loads within a large and complex catchment (River Medway catchment, UK)
162 using existing water industry, publicly available and purchased licensed datasets with SWAT,
163 2) evaluate the quality of these simulations, 3) evaluate and make recommendations on data
164 requirements for satisfactory simulation of metaldehyde and future emerging contaminants.

165

166 **2. Material and methods**

167 **2.1 The River Medway Catchment**

168 The River Medway catchment, UK, was chosen for this study. As one of the largest
169 catchments in South East England (2409 km²), it offered the opportunity to challenge the
170 model with the complexities associated with a river that has varied topography, soils and
171 geology, and runs through a diverse range of land uses (Environment Agency, 2018). The
172 catchment is also subject to frequent discharges and abstractions along its reach and
173 metaldehyde standard EU exceedances (>0.1 µg/L) are of concern. The River Medway
174 catchment has a number of large tributaries including the Eden, Teise and Beult. There are
175 many landscape designations in the catchment (accounting for 75% of the landscape area),

176 including Sites of Special Scientific Interest and important wetland habitats (Environment
177 Agency, 2018).

178

179 **2.2 Model input data**

180 The fundamental mechanisms of SWAT pesticide modelling are detailed in Wang et al.
181 (2019). To model metaldehyde in the River Medway catchment using SWAT, datasets were
182 obtained. The data collection period was from 2009 to 2016. The digital elevation model
183 (DEM) used in watershed delineation was an OS Terrain 5 map with a 5 m by 5 m grid size
184 resolution and a 1:10,000 scale (Digimap, Edina, UK). The total study area was 1036.4 km².
185 Land-use was determined from the Land Cover Map 2007 (LCM2007), which is derived
186 from satellite images and digital cartography. For use of this map, a licence was purchased
187 from the Centre for Ecology and Hydrology (part of the Natural Environment Research
188 Council). The National Soil Map and attributed soil data were obtained from the National
189 Soil Resources Institute, Cranfield University, also through a purchased licence.
190 Meteorological station data (coordinates), along with daily meteorological data (precipitation,
191 relative humidity, solar, temperature, and wind) were obtained from the Meteorological
192 Office Integrated Data Archive System (MIDAS).

193

194 Water abstraction locations and quantities were provided by the Environment Agency (EA),
195 UK, under an agreed licence. Daily discharge locations and quantities for point sources and
196 reservoirs, along with all observed streamflow and water quality data (for calibration and
197 validation of the model) were obtained from water industry datasets. Southern Water Services
198 (SWS) Ltd. was the primary supplier of these data (water quality from SWS catchment data),
199 with streamflow and water quality data provided by Sutton and East Surrey Water PLC for
200 the Eden tributary of the River Medway. Crop management data were abstracted from

201 EDINA Agcensus data (Edinburgh University Data Library and the Department of
202 Environment, Food and Rural Affairs) through a paid subscription. Finally, additional land
203 management information, pesticide application time and quantities were obtained from the
204 Department of Environment, Food and Rural Affairs (DEFRA) and the Food and
205 Environment Research Agency (FERA), respectively. Suspended sediment data was not
206 available for use in this study, consequently an inability to calibrate sediment yield was a
207 limitation of the research.

208

209 **2.3 Model setup**

210 The Medway catchment was delineated using a digital elevation model (DEM) with a
211 selected sub-basin maximum size of 1000 Ha (10km²), resulting in 110 sub-basins in total
212 (Figure 1). The purpose of delineation was to divide the catchment into hydrologically
213 connected sub-basins. Three streamflow gauge outlets (three points where appropriate
214 average daily streamflow (m³/s) data and one water quality outlet where appropriate daily
215 soluble metaldehyde (mg active ingredient) data existed were selected and imported into the
216 model for calibration and validation purposes. The locations of 137 different wastewater
217 point sources and three reservoirs (Weirwood, Bough Beech and Bewl) were also built into
218 the watershed delineation stage of the model set up (Figure 1). The model outlet was set at
219 the point where the River Medway meets the Thames Estuary, Kent, allowing delineation of
220 the entire River Medway catchment.

221

222 Sub-basins were then split into unique combinations of slope class, land-use class and soil
223 class, which resulted in a total of 823 Hydrologic Response Units (HRU). Dividing the sub-
224 basins into HRU allows the model to reflect the differences in evapotranspiration for different
225 crops and soils, as well as predicting run-off for each independent HRU, leading to more

226 accurate prediction of water balance (Neitsch et al., 2011). HRU minimum thresholds for
227 unique combinations were set for land-use (20%), soil class (20%) and slope class (20%) to
228 limit the numbers of HRU to fewer than 10 per sub-basin. Before HRU definition could be
229 performed, land-use, soils and slope required further definition.

230

231 As previously mentioned, SWAT was developed in the United States (US), and as a result,
232 the default soil and land-use databases used by the model required alteration before definition
233 in the model. The Land Cover Map (2007) was reclassified according to existing SWAT
234 land-use classifications. The original land-use classifications from the Land Cover Map
235 (2007) included 16 different land-use types. These were subsequently divided into 10 broader
236 SWAT land-use classifications (Table 1). Before HRU definition, using the land use
237 refinement option, land-use classifications were further refined by division of the agricultural
238 land – generic (AGRL) classification into crop types according to percentages of crop type in
239 the survey data from the agricultural census of 2010 (Table 2) (Edina, Agcensus).

240

241 To apply UK soil types to the SWAT model, a database of soils present in the River Medway
242 catchment was constructed from soil datasets provided by the National Soil Resources
243 Institute, Cranfield University. These datasets display soil associations, containing the most
244 frequently occurring soil series (which are used to identify the association) and combinations
245 of secondary series. Soil associations were explored to determine if more hydrologically-
246 active soils (not the most commonly identified) were present, which could impact model
247 performance. Within the soil associations, soil sub-groups demonstrated similar drainage
248 characteristics, therefore for database construction, the characteristics of the most commonly
249 occurring soil series were used. As an additional consideration, soil characteristics vary
250 depending on land-use. Therefore, soil series were additionally divided according to the

251 following four land-use categories; PG – Permanent grassland, AR – Arable, LE – Ley
252 grassland and OT – Other. Soils were assigned land-use classifications based on the dominant
253 land use. Once constructed, the completed database of soils was then imported into the
254 SWAT2012 operating database used by the model. Three slope classes were selected for
255 slope definition (>5%, 5-10%, and >10%).

256

257 Eight meteorological stations were used for model setup, spanning up to 27 years of observed
258 historical data (for temperature, precipitation, solar radiation and wind). Only stations where
259 missing data did not account for more than 10% of the total were used. No infilling or
260 correction of meteorological data was required. Meteorological station statistics were
261 calculated and input into a ‘user weather generator database’ (which was imported into the
262 SWAT2012 operational database) for calculation of relative humidity.

263

264 Point source daily discharges (obtained from water industry datasets) were input into the
265 model via the ‘edit point source input’ option in SWAT. Water abstraction from the river was
266 added to the model by editing water use in each sub-basin for each separate month.

267 Abstractions were calculated from daily returns data provided by the Environment Agency.

268 Abstractions, inputs and discharges were also edited for the three reservoirs in the catchment
269 (Bewl, Boughbeeche and Weirwood) separately using observed data. Basin transfers were
270 included to account for river abstractions into reservoirs.

271

272 Land management was edited for each separate sub-basin using the SWAT ‘management
273 edit’ option. This feature enabled crop rotations that were indicative of agricultural practises
274 in the South East of England to be implemented for agricultural land row crops, which
275 represented 54.57% of the refined agricultural land (AGRL) classification. Crop rotations

276 presented in Table 3 (including cultivation, tillage, harvest, fertiliser and pesticide application
277 operations) were formulated from survey data from the agricultural census of 2010, with
278 guidance from data presented by Glavan et al. (2012) and Taylor et al. (2016) Application of
279 metaldehyde was also added to the management options, with application quantities and
280 application dates derived from data provided by the Food and Environment Research Agency
281 (FERA). Metaldehyde is not initially included in the pesticide database built into SWAT.
282 However, data relating to the characteristics of metaldehyde were obtained from the
283 SCS/ARS/CES pesticide properties database and were imported (Augustijn-Beckers et al.,
284 1994). The simulation period for the model was 1990 to 2016 with four years of model
285 warm-up (1990-1993). For average daily streamflow (m³/s), the model was calibrated from
286 1994 to 2004, and validated from 2005 to 2016. Three streamflow gauge outlets were used
287 for calibration and validation, as shown in Figure 1. For daily soluble metaldehyde (mg active
288 ingredient) loads, the longest and most complete data set was recorded at water quality outlet
289 1. Therefore, this location was selected for model calibration for metaldehyde data (2009-
290 2013) and for subsequent validation (2014-2016). All other sites were deemed to have
291 insufficient data for useful calibration and validation of daily soluble metaldehyde (mg active
292 ingredient) loads.

293

294 **2.4 Calibration and validation**

295 Abbaspour et al. (2015a) advise that models should be transparently described, including
296 calibration, validation, sensitivity and uncertainty analysis. SWAT-CUP is a stand-alone
297 program developed to aid users in these steps. Therefore sensitivity, calibration and
298 validation analysis were performed in SWAT-CUP using the Sequential Uncertainty Fitting
299 Program (SUFI-2) (Abbaspour, 2015b). Sensitivity, calibration and validation analysis was
300 conducted according to the approach outlined in Figure 2. The Nash–Sutcliffe efficiency

301 (NSE) performance criterion (Nash and Sutcliffe, 1970) was used in calibration and
302 evaluation of the model performance in SUFI-2. The NSE was chosen because it is robust
303 and can be used to evaluate model performance for a number of temporal scales and output
304 responses including streamflow and pesticides. In addition, NSE can incorporate
305 measurement uncertainty and is commonly used in SWAT model calibration and evaluation,
306 enabling comparison with an extensive range of reported values (Harmel and Smith, 2007;
307 Harmel et al., 2010; Moriasi et al., 2015). In SUFI-2, uncertainty in driving variables (e.g.
308 precipitation), the conceptual model, parameters and measured data is accounted for in the
309 model by expressing input parameters in ranges (uniform distributions). Propagation of these
310 uncertainties results in uncertainties in model output. These are represented by 95%
311 probability distributions (95PPU) that are calculated at the 2.5% and the 97.5% levels of the
312 cumulative distribution of an output variable, generated by Latin hypercube sampling of the
313 propagated parameter uncertainties (Abbaspour, 2015b). In addition to the NSE, to quantify
314 the fit between simulated and observed results, P-factors and R-factors are used. The P-factor
315 is the percentage of observed data enveloped by the modelling result (95% prediction
316 uncertainty), whilst the R-factor is the thickness of the 95% prediction uncertainty envelope.
317 Global sensitivity analysis was performed to reduce the number of parameters used to
318 calibrate the model. The parameters considered important for streamflow and metaldehyde
319 load calibration are displayed in Table 4, along with the results of global sensitivity analysis.
320 Tables 5 and 6 display the calibrated ranges and fitted values for sensitive average daily
321 streamflow (m^3/s) and daily soluble metaldehyde (mg active ingredient) load parameters (p-
322 value <0.05). The most sensitive parameter for streamflow (m^3/s) calibration (t-statistic -
323 20.29, p-value 0.00) was the Soil Conservation Service (SCS) runoff curve number (CN2).
324 The most sensitive pesticide parameters for daily soluble metaldehyde load (mg active
325 ingredient) simulation included the timing and amount of pesticide applied to the HRU

326 (kg/ha), the pesticide percolation coefficient and pesticide application efficiency. The Soil
327 Conservation Service (SCS) runoff curve number (CN2), which was left unaltered after
328 streamflow calibration was also a sensitive parameter for daily soluble metaldehyde (mg
329 active ingredient) calibration.

330

331 **3. Results and discussion**

332 **3.1 Calibration and validation**

333 Sensitivity analysis showed that daily soluble metaldehyde (mg active ingredient) loads were
334 most sensitive to the amount and timing of pesticide application to land, the pesticide
335 percolation coefficient, pesticide application efficiency and the SCS runoff curve number.
336 Metaldehyde has a low K_{OC} value, resulting in less potential to adsorb to suspended
337 sediments and therefore adjustments to parameters that change the filtration/ run-off
338 potential, such as the pesticide percolation coefficient and the SCS runoff curve number
339 could have significant impacts on metaldehyde outputs (Asfaw et al., 2018). Pesticide
340 application efficiency was also a highly sensitive parameter for the calibration of
341 metaldehyde, suggesting that increasing the accuracy of pellet targeting to land and product
342 substitution in high risk areas (steeper slopes with connectivity to water courses), could be an
343 important management option. Sensitivity analysis results highlighted that the slope length
344 for lateral subsurface flow (m) was also a sensitive model parameter for metaldehyde load
345 prediction. Tang et al. (2012) suggest that in regions where soil is underlain by impervious
346 subsoil, these flows can make a considerable contribution to rapid pesticide discharge from
347 agricultural land. Pesticides with a smaller tendency toward sorption, such as metaldehyde
348 also commonly have a greater potential to enter surface waters through subsurface lateral
349 transport (Kookana et al., 1998).

350

351 Calibration and validation of the Medway catchment SWAT model was conducted for
352 average daily streamflow (m³/s) out of the reach (calibration; 1994-2004, validation; 2005-
353 2016) and daily soluble metaldehyde (mg active ingredient) load transported out of the reach
354 (calibration 2009-2013, validation; 2014-2016) with a four-year model warm up period from
355 1990-1993. All three streamflow gauge outlets (Figure 1) were used to calibrate streamflow.
356 It should be noted that not all value ranges refer to replacement values. Parameters, such as
357 the SCS runoff curve number, are different in each HRU and to keep representation of the
358 differences in the HRU, these values were calibrated relative to their original values from
359 model set-up. Water quality outlet 1 was used for metaldehyde load calibration and validation
360 (Figure 1). The calibrated parameter ranges are presented in Tables 5 and 6.

361

362 Standards for the success of SWAT model performance have been proposed by Moriasi et al.
363 (2015) who suggest that performance can be judged “satisfactory” for daily streamflow
364 simulations if the NSE is > 0.50 for watershed-scale models. Additionally, they suggest that
365 model performance can be judged “satisfactory” for daily sediment and nitrogen simulations
366 if NSE is > 0.35 . To determine the success of pesticide simulation, Chen et al. (2017) adopted
367 the NSE performance criteria threshold used for nitrogen (NSE > 0.35) introduced by Moriasi
368 et al. (2015). In addition, Chen et al. (2017) use the 95PPU to determine prediction
369 uncertainty for flow, with results > 0.60 P-factor ($> 60\%$ of data bracketed by the PPU) and
370 less than 1 R-factor (thickness of the PPU envelope) indicating satisfactory flow uncertainty.
371 No values are presented for satisfactory prediction uncertainty for pesticide simulation.

372 Recent SWAT simulation of other pesticides including atrazine, chlorothalonil and
373 endosulfan amongst others has resulted in a wide range of performance results (NSE 0.13-
374 0.92) (Ahmadi et al., 2014; Bannwarth et al., 2015; Fohrer et al., 2014; Baffuat et al., 2015).

375 Comparison of calibration and validation results from this study (Table 7) to these model

376 performance criteria and previous studies indicated that the SWAT model could simulate
377 average daily streamflow (m³/s) out of the reach well (P-factor 0.68-0.85 and R-factor 0.54-
378 0.82, NSE 0.42-0.60), with simulated flow matching closely to observed data (Figure 3).
379 Calibration (P-factor 0.72 and R-factor 1.35, NSE 0.31) and validation (P-factor 0.49 and R-
380 factor 1.37, NSE 0.16) for daily soluble metaldehyde (mg active ingredient) load transported
381 out of the reach was also satisfactory (Table 7 and Figure 4) but results for validation did
382 show a reduced fit of simulated vs observed daily data that may require further investigation.
383 Seventy two percent of measured daily soluble metaldehyde (mg active ingredient) load
384 values were bracketed by the 95PPU (R-factor 1.95) after calibration. Limited studies have
385 reported the 95PPU, however a study conducted by Chen et al. (2017) reported that 31% of
386 the pesticide (diuron) measured data were bracketed by the 95PPU (R-factor 0.85).
387 Observation of plots (Figure 3 and 4) supported the statistical performance results shown in
388 Table 7. The timing of metaldehyde peaks was often accurate, but under-prediction (e.g.,
389 2012 and 2015 peaks) and over-prediction (e.g., 2011 and 2013 peaks) was evident. Over-
390 prediction or under-prediction of daily soluble metaldehyde (mg active ingredient) load in
391 observation plots could be the result of the quality of metaldehyde application data.
392 Metaldehyde application quantities were estimated using census and land use data (from
393 2007) and the most common crop rotations for the region according to census data and
394 literature (Glavan et al., 2012 and Taylor et al., 2016). Hence it is likely that these
395 assumptions have implications on the accuracy of the model outputs. Since analysis
396 suggested that model simulations were very sensitive to changes in the amount of pesticide
397 applied to the HRU (kg/ha), the authors postulate that more specific and recent land use,
398 management and metaldehyde application data would improve the predictive capabilities of
399 other predictive models with a focus on metaldehyde and other pesticides. In agreement with
400 these findings Parker et al. (2007) suggest that the accuracy of pesticide application timing

401 input data is particularly important for pesticide simulation accuracy, especially when
402 intensive planting is followed by large storm events. They also suggest that the amount of
403 pesticide applied to land, as well as the amount and timing of rainfall are of utmost
404 importance when simulating pesticide runoff. In addition, Fohrer et al. (2014) assessed the
405 environmental fate of the herbicides Flufenacet and Metazachlor with the SWAT model and
406 found that the simulation results were improved considerably with better quality survey
407 information. From Figure 4, it is also apparent that the model under-predicts metaldehyde
408 loads in the 2013 and 2015 peaks, but this under-prediction is not predominant in 2014, when
409 this peak coincides with the largest rainfall event across the calibration and validation period.
410 Results from Holvoet et al. (2005) suggest that direct losses from the clean-up of application
411 equipment, could also represent a significant pesticide contribution to streams. In addition,
412 they conclude that errors in application date, could lead to significant errors in the prediction
413 of direct losses, because randomly assigned application dates can coincide with heavy
414 precipitation, but in reality, the farmer would not apply pesticide in these conditions. Holvoet
415 et al. (2005), recommend adjustments in the SWAT code, to improve modelling of direct loss
416 processes. Whilst, the SWAT code was not adjusted for development of this model, it is
417 possible that these adjustments could improve modelling of direct losses of metaldehyde in
418 future studies.

419

420 The authors had theorised that one limiting factor from the use of existing water quality
421 datasets would be the availability of appropriate long-term datasets. However, successful
422 calibration and validation were achieved in this study. One recognised limitation of the model
423 development in this study was the HRU minimum thresholds, that were set for unique
424 combinations at 20% for land-use, soil class and slope class. Whilst these thresholds were set
425 to ensure the number of HRU's were manageable, larger thresholds result in elimination of

426 smaller regions that may still be important in terms of pesticide contributions. In particular,
427 larger land cover thresholds tend to eliminate small land use categories, that could be
428 important for pesticide application (Her et al., 2015). Future studies might explore the use of
429 lower thresholds. However, these results indicate that whilst improvements could be made
430 with greater availability of data and smaller thresholds, the SWAT model was still applicable
431 in a large complicated catchment where data collection wasn't targeted towards modelling
432 studies and where points for calibration were limited. The implications of this, are significant,
433 because this suggests that SWAT could potentially be applied universally to existing water
434 industry datasets without the need for additional, expensive and labour-intensive monitoring
435 programmes. This would also allow for a more efficient use of historical datasets.

436

437 Figure 5 displays the spatial distribution of slope class (%), soil hydrologic group,
438 agricultural land cover and average precipitation (mm/year) per sq km. In addition, it also
439 presents simulated median and maximum daily soluble metaldehyde transported out of the
440 reach per sq km of agricultural land cover for the main tributaries of the Medway catchment,
441 Kent, UK. The soil drainage characteristics appear largely uniform with the majority of soils,
442 exhibiting a slow infiltration rate (soil hydrologic group C). Soil classifications with higher
443 infiltration rates are more prevalent in the Bourne tributary and in the lower Medway
444 catchment, approaching the estuary. Unsurprisingly, when maximum and median daily
445 soluble metaldehyde (mg active ingredient) load from the tributaries are compared without
446 context, the tributaries with the largest land areas and agricultural land classification (Beult
447 and the Lower Medway) appear to contribute the highest metaldehyde load to the reaches.
448 The Teise and Upper Medway tributaries, are characterised by slow infiltrating soils and
449 steeper slopes, but the percentage of area covered by agricultural land is low (27% and 18%,
450 respectively) relative to the Beult and Bourne tributaries, with 38% and 33%, respectively.

451 Emphasising the complexity of predicting metaldehyde contribution risk, the SWAT model
452 output suggests that per km² of agricultural land area, the Bourne tributary contributes the
453 greatest soluble metaldehyde (mg active ingredient) load to the River Medway, despite lower
454 average precipitation (mm/year) and a larger proportion of higher infiltration rate soils. In
455 addition to the Bourne tributary, the Beult is also observed to contribute relatively high daily
456 soluble metaldehyde (mg active ingredient) loads from the reach per km² of agricultural land,
457 suggesting that preferentially targeting management in this catchment would also be useful.
458 These results, exemplify the potential for SWAT to help water managers to target
459 management options. In this instance, the Bourne tributary is highlighted as an area where
460 product substitution could have the largest impact on reducing metaldehyde (mg active
461 ingredient) loads. Whilst improvement of calibration and validation could be explored in
462 future studies, the results obtained do indicate that the SWAT model could be an important
463 predictive tool for metaldehyde management and future emerging contaminants within the
464 water industry.

465

466 **4. Conclusions**

467 In this study, existing publicly available, purchased licensed datasets and water industry
468 datasets were integrated with the SWAT model to determine if this modelling approach could
469 be useful for tackling the emerging problem of metaldehyde and other related pesticides in
470 catchments. The results of this investigation may also be of use when selecting modelling
471 tools to assess emerging contaminants, particularly pesticides such as cypermethrin in the
472 future. The following conclusions can be drawn from this research.

- 473 • Most importantly for the prospect of modelling emerging contaminants in the future,
474 SWAT was able to model average daily streamflow (m³/s) to a good standard (P-
475 factor 0.68-0.85 and R-factor 0.54- 0.82, NSE 0.42-0.60).

- 476 • The accuracy of simulated daily soluble metaldehyde (mg active ingredient) load
477 transported out of the reach was satisfactory for the calibration (P-factor 0.72 and R-
478 factor 1.35, NSE 0.31) and validation (P-factor 0.49 and R-factor 1.37, NSE 0.16)
479 periods, but reduced fit during the validation period, suggests that further
480 investigation may be required into the reasons for a less accurate prediction of
481 metaldehyde during this period.
- 482 • Sensitivity analysis suggested that one of the most important parameters for the
483 prediction of peaks in metaldehyde is the amount and timing of pesticide application.
484 Therefore, the inclusion of recent land use and crop rotation data and or survey data
485 from individual farmers could increase the accuracy of simulations.
- 486 • These results indicate that whilst improvements could be made with greater
487 availability of data, the SWAT model was still applicable in a large complicated
488 catchment where data collection wasn't targeted towards modelling studies and points
489 for calibration were limited.
- 490 • SWAT model output was used to successfully identify tributaries contributing the
491 greatest daily soluble metaldehyde loads to the River Medway (per km² of agricultural
492 land), highlighting the potential of this model to help the water industry target
493 management.
- 494 • Future work might usefully use SWAT modelling to determine the most important
495 combinations of predictor variables for metaldehyde, highlighting the variables that
496 could be used as real time early warning predictors to peak concentrations.

497

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508

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661

662 **Table 1.** Area (Ha) and percentage cover (%) of land use classifications for the Medway
 663 catchment, Kent, UK

SWAT land use designation	SWAT land-use code	Area (Ha)	Percentage (%)
Agricultural land-generic	AGRL	49423	29.80
Barren Land	BARR	337	0.20
Forest deciduous	FRSD	28684	17.30
Forest evergreen	FRSE	2425	1.46
Pasture	PAST	65910	39.74
Brush	RNGB	716	0.43
Urban industrial	UIDU	2910	1.75
Urban low-medium density	URML	13823	8.33
Water	WATR	1319	0.80
Wetlands non-forested	WETN	306	0.18

664

665

666 **Table 2.** Land-use refinement of agricultural land- generic (AGRL) classification

SWAT crop designation	SWAT crop code	Percentage (%)
Spring wheat	SWHT	22.08
Corn	CORN	2.16
Spring canola (polish variety)	CANP	1.11
Flax	FLAX	1.31
Agricultural land row crops	AGRR	54.57
Barren	BARR	2.53
Barley	BARL	2.16
Agricultural close grown crops	AGRC	2.37
Orchard	ORCD	4.96
Barley	BARL	1.03
Oats	OATS	1.40
Beans	FDBN	3.40

667

668

669 **Table 3.** The seven-year crop-rotation and management operations applied within the SWAT

670 model of the Medway catchment for the agricultural land row crops land use designation

Year	Month	Day	Management operation	Description
1	3	15	Tillage	Generic spring ploughing operation
1	4	1	Cultivation	Plant sugar beet
1	4	1	Fertilizer application	Application of 40 kg/ha elemental nitrogen
1	5	1	Fertilizer application	Application of 40 kg/ha elemental nitrogen
1	6	1	Pesticide application	Application of 0.14 kg/ha metaldehyde
1	7	1	Pesticide application	Application of 0.14 kg/ha metaldehyde
1	8	1	Pesticide application	Application of 0.14 kg/ha metaldehyde
1	10	1	Harvest	Harvest sugar beet
2	2	15	Tillage	Generic spring ploughing operation
2	3	1	Cultivation	Plant spring barley
2	4	1	Pesticide application	Application of 0.10 kg/ha metaldehyde
2	4	1	Pesticide application	Application of 0.10 kg/ha metaldehyde
2	5	1	Fertilizer application	Application of 70 kg/ha phosphate
2	5	1	Fertilizer application	Application of 45 kg/ha elemental nitrogen
2	8	3	Harvest	Harvest spring barley
3	1	1	Tillage	Generic spring ploughing operation
3	2	1	Fertilizer application	Application of 40 kg/ha phosphate
3	2	28	Cultivation	Plant field beans
3	5	1	Pesticide application	Application of 0.10 kg/ha metaldehyde
3	8	31	Harvest	Harvest field beans
4	9	15	Tillage	Generic autumn ploughing operation
4	9	30	Pesticide application	Application of 0.13 kg/ha metaldehyde
4	10	1	Pesticide application	Application of 0.15 kg/ha metaldehyde
4	10	5	Cultivation	Plant winter wheat
4	11	1	Pesticide application	Application of 0.10 kg/ha metaldehyde
4	12	1	Pesticide application	Application of 0.21 kg/ha metaldehyde
5	3	1	Fertilizer application	Application of 60 kg/ha phosphate
5	3	1	Fertilizer application	Application of 40 kg/ha elemental nitrogen
5	4	1	Pesticide application	Application of 0.18 kg/ha metaldehyde
5	5	1	Fertilizer application	Application of 120 kg/ha elemental nitrogen
5	8	24	Harvest	Harvest winter wheat

5	9	15	Tillage	Generic autumn ploughing operation
5	10	1	Cultivation	Plant winter barley
5	10	1	Pesticide application	Application of 0.09 kg/ha metaldehyde
6	3	1	Fertilizer application	Application of 40 kg/ha phosphate
6	3	1	Fertilizer application	Application of 60 kg/ha elemental nitrogen
6	4	1	Fertilizer application	Application of 70 kg/ha elemental nitrogen
6	7	15	Harvest	Harvest winter wheat
6	7	16	Tillage	Generic autumn ploughing operation
6	8	1	Pesticide application	Application of 0.17 kg/ha metaldehyde
6	8	31	Cultivation	Plant oilseed rape
6	9	1	Pesticide application	Application of 0.14 kg/ha metaldehyde
6	10	1	Pesticide application	Application of 0.12 kg/ha metaldehyde
6	11	1	Pesticide application	Application of 0.12 kg/ha metaldehyde
6	12	1	Pesticide application	Application of 0.14 kg/ha metaldehyde
7	3	1	Fertilizer application	Application of 60 kg/ha elemental nitrogen
7	3	3	Fertilizer application	Application of 50 kg/ha phosphate
7	4	1	Fertilizer application	Application of 60 kg/ha elemental nitrogen
7	7	30	Harvest	Harvest oilseed rape
7	8	15	Tillage	Generic autumn ploughing operation
7	9	1	Pesticide application	Application of 0.13 kg/ha metaldehyde
7	10	1	Pesticide application	Application of 0.15 kg/ha metaldehyde
7	10	5	Cultivation	Plant winter wheat
7	11	1	Pesticide application	Application of 0.10 kg/ha metaldehyde
7	12	1	Pesticide application	Application of 0.21 kg/ha metaldehyde
8	3	1	Fertilizer application	Application of 40 kg/ha elemental nitrogen
8	3	1	Fertilizer application	Application of 60 kg/ha phosphate
8	4	1	Pesticide application	Application of 0.18 kg/ha metaldehyde
8	5	1	Fertilizer application	Application of 120 kg/ha elemental nitrogen
8	8	24	Harvest	Harvest winter wheat

671

672 **Table 4.** Results of global sensitivity analysis for parameters considered for streamflow and
673 metaldehyde load calibration, with their sensitivity and sensitivity ranking (parameters used
674 in calibration are presented in *italics*).

Parameter Name	Definition	P-Value	Sensitivity ranking
<u>Average daily streamflow in reach (m³/S)</u>			
<i>CN2</i>	SCS runoff curve number	0.00	1
<i>CH_K2</i>	Effective hydraulic conductivity in main channel alluvium	0.00	2
<i>CH_N2</i>	Manning's "n" value for the main channel	0.00	3
<i>CANMX</i>	Maximum canopy storage	0.01	4
<i>ESCO</i>	Soil evaporation compensation factor	0.01	5
<i>SLSUBBSN</i>	Average slope length.	0.03	6
<i>GWQMN</i>	Threshold depth of water in the shallow aquifer required for return flow to occur (mm)	0.06	7
<i>SOL_AWC</i>	Available water capacity of the soil layer	0.07	8
<i>ALPHA_BNK</i>	Baseflow alpha factor for bank storage	0.08	9
<i>SMFMX</i>	Maximum melt rate for snow during year	0.14	10
<i>GW_DELAY</i>	Groundwater delay (days)	0.14	11
<i>SLSOIL</i>	Slope length for lateral subsurface flow	0.19	12
<i>TIMP</i>	Snow pack temperature lag factor	0.19	13
<i>OV_N</i>	Manning's "n" value for overland flow	0.19	14

SURLAG	Surface runoff lag time	0.27	15
ALPHA_BF	Baseflow alpha factor (days)	0.32	16
SMFMN	Minimum melt rate for snow during the year	0.34	17
EPCO	Plant uptake compensation factor	0.38	18
GW_REVAP	Groundwater "revap" coefficient	0.40	19
CNCOEF	Plant ET curve number coefficient.	0.43	20
HRU_SLP	Average slope steepness	0.56	21
DEEPST	Initial depth of water in the deep aquifer (mm)	0.69	22
SHALLST	Initial depth of water in the shallow aquifer (mm).	0.71	23
SOL_BD	Moist bulk density	0.76	24
REVAPMN	Threshold depth of water in the shallow aquifer for "revap"	0.77	25
SOL_ALB	Moist soil albedo	0.81	26
SFTMP	Snowfall temperature	0.86	27
RCHRG_DP	Deep aquifer percolation fraction	0.90	28
SOL_K	Saturated hydraulic conductivity	0.94	29
<u>Metaldehyde soluble daily load out of the reach (mg active ingredient)</u>			
CN2	SCS runoff curve number	0.00	1
PST_KG	Amount of pesticide applied to HRU (kg/ha)	0.00	2
PERCOP	Pesticide percolation coefficient	0.00	3
AP_EF	Application efficiency	0.00	4
CH_K2	Effective hydraulic conductivity in main channel alluvium	0.01	5
SLSOIL	Slope length for lateral subsurface flow	0.02	6
HRU_SLP	Average slope steepness	0.07	7
SURLAG	Surface runoff lag time	0.11	8
HLIFE_S	Degradation half-life of the chemical on the soil	0.17	9
WOF	Wash-off fraction	0.22	10
HLIFE_F	Degradation half-life of the chemical on the foliage	0.32	11

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676

677

678 **Table 5.** Sensitive average daily streamflow (m³/s) parameters with calibrated ranges and the
679 fitted value

Parameter	SWAT parameter code	Min	Max	Fitted Value
SCS runoff curve number	r__CN2.mgt	-0.43	0.09	-0.30
Effective hydraulic conductivity in main channel alluvium (mm/hr)	v__CH_K2.rte	-0.01	43.42	3.70
Manning's "n" value for the main channel	v__CH_N2.rte	-0.01	0.18	0.03
Maximum canopy storage	V__CANMX.hru	26.92	80.78	55.92
Soil evaporation compensation factor	V__ESCO.hru	0.42	1.00	0.90
Average slope length	R__SLSUBBSN.hru	-0.06	0.61	0.50

680

681 **Table 6.** Sensitive pesticide parameters with calibrated ranges and the fitted value

Parameter	SWAT parameter code	Min	Max	Fitted Value
Amount of pesticide applied to HRU (kg/ha)	V__PST_KG{..}.mgt	0.28	0.33	0.28
Pesticide percolation coefficient	V__PERCOP.bsn	0.92	1.00	0.98
Pesticide application efficiency	V__AP_EF{..}.pest.dat	0.00	0.22	0.20

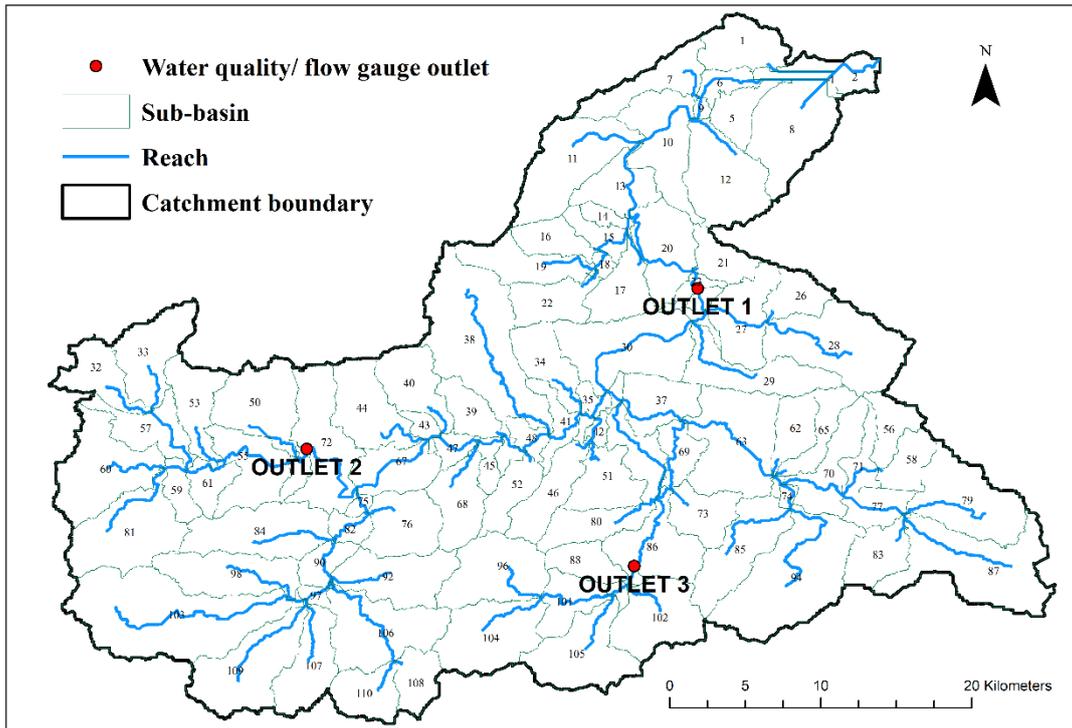
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683 **Table 7.** Calibration and validation performance results from outlets 1-3 for average daily
 684 streamflow (m³/s) and daily soluble metaldehyde (mg active ingredient) loads transported out
 685 of the reach

Calibration	Variable	Site	Sub-basin	P-factor	R-factor	NSE
	Flow	Outlet 1	24	0.81	0.81	0.57
	Flow	Outlet 2	54	0.74	0.64	0.59
	Flow	Outlet 3	89	0.73	0.82	0.42
	Metaldehyde	Outlet 1	24	0.72	1.35	0.31
Validation	Variable	Site	Sub-basin	P-factor	R-factor	NSE
	Flow	Outlet 1	24	0.85	0.78	0.60
	Flow	Outlet 2	54	0.71	0.54	0.59
	Flow	Outlet 3	89	0.68	0.77	0.54
	Metaldehyde	Outlet 1	24	0.49	1.37	0.16

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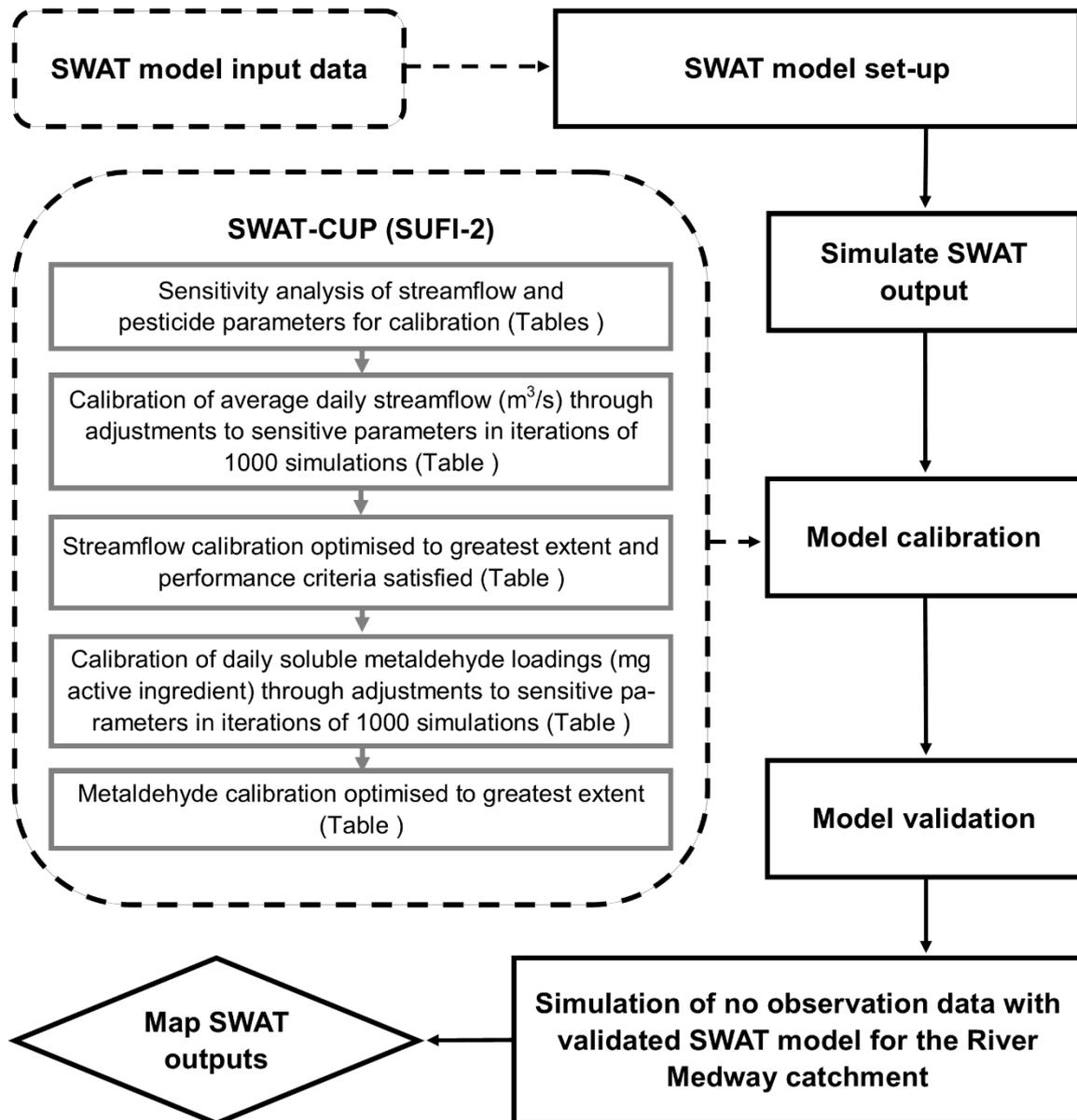


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689 **Figure 1:** The River Medway (Kent, UK), SWAT delimited catchment, displaying sub-
 690 basins, metaldehyde water quality (outlet 1) and streamflow gauge outlets (outlets 1, 2 and 3).

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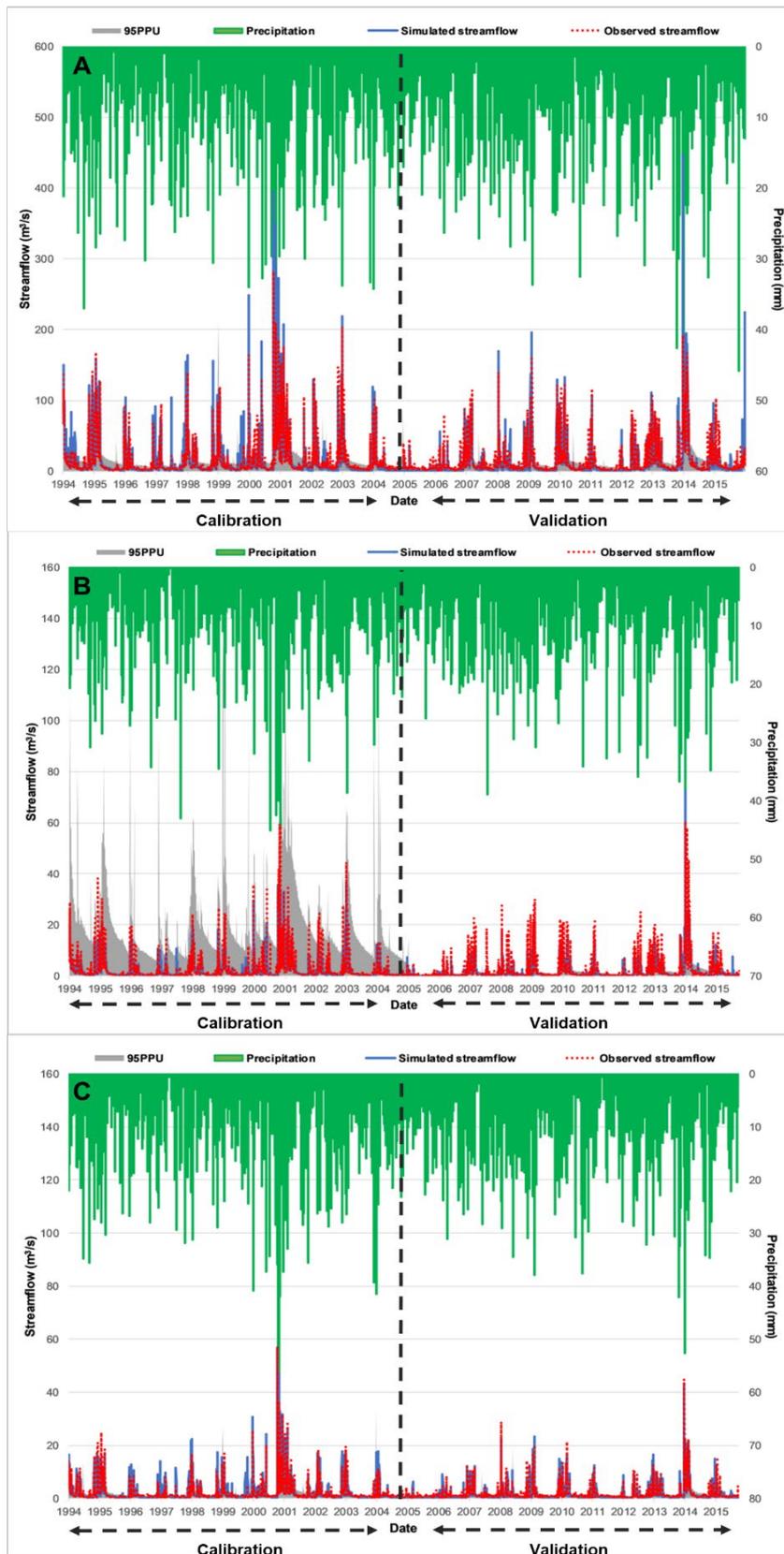
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694 **Figure 2.** Sensitivity analysis and calibration approach flowchart

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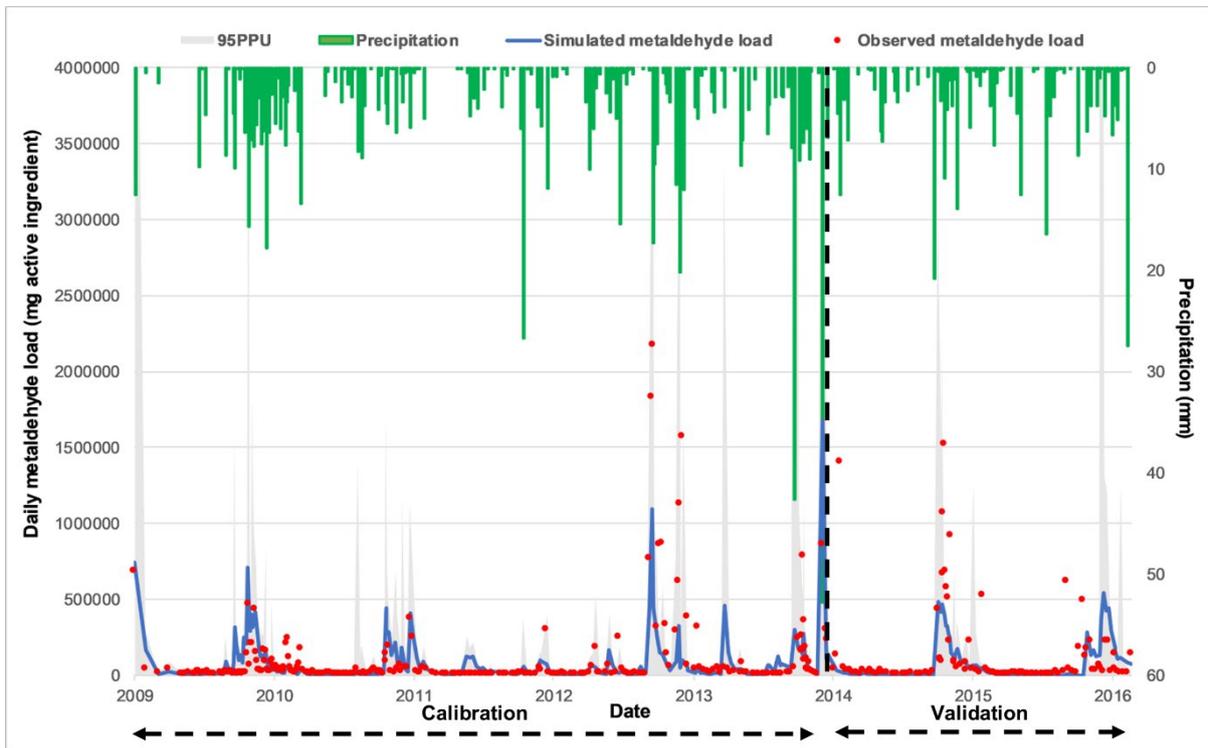


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697 **Figure 3:** Performance evaluation of streamflow (m^3/s) simulation from outlet 1 (A), outlet 2
 698 (B) and outlet 3 (C) of the calibrated SWAT model with observed data for the calibration
 699 period (1994-2004) and validation period (2005-2016).

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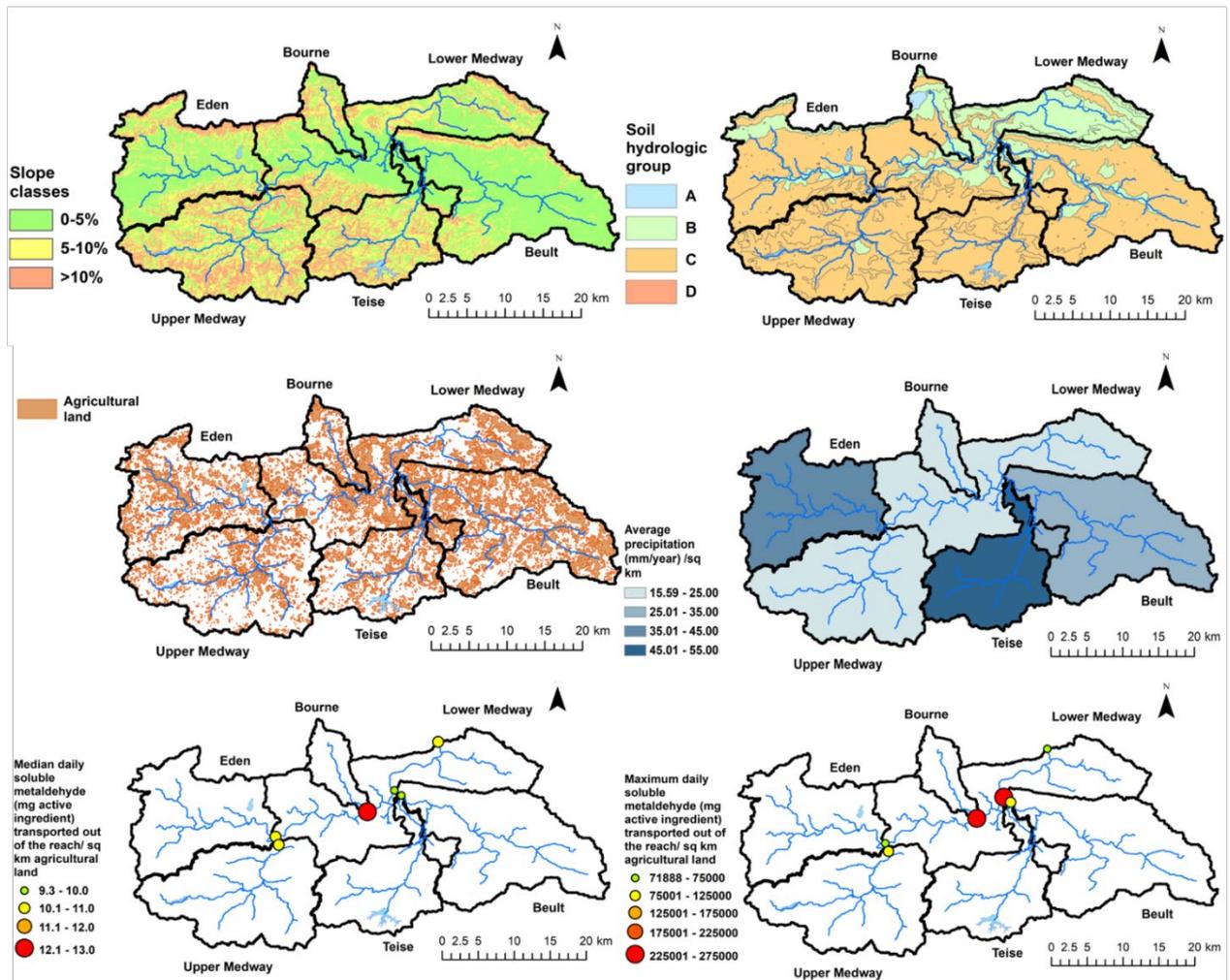
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Figure 4: Performance evaluation of daily metaldehyde load (mg active ingredient) simulation from outlet 1 of the calibrated SWAT model with observed data for the calibration period (2009-2013) and validation period (2014-2016).



709

710 **Figure 5:** Spatial distribution of slope class (%), soil hydrologic group (A= high infiltration
 711 rate, B= moderate infiltration rate, C= slow infiltration rate, D= very slow infiltration rate),
 712 agricultural land cover, average precipitation (mm/year) per sq km, and simulated median and
 713 maximum daily soluble metaldehyde transported out of the reach per sq km of agricultural
 714 land cover for the main tributaries of the Medway catchment, Kent, UK.